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M 1 UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b)

Attorney	Docket	No.
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APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

Fee Transmittal Form

(Submit an original, and a duplicate for fee processing)

2. (Total Pages <u>58</u>, including cover page) Specification

(preferred arrangement set forth below)

- Descriptive Title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claims
- Abstract of the Disclosure
- 3. Drawings(s) (35 USC 113) (Total Sheets <u>59 sheets of 59 figures</u>)
- Oath or Declaration (Total Pages 5)
 - Newly Executed (Original or Copy)
 - Copy from a Prior Application (37 CFR 1.63(d)) (for Continuation/Divisional with Box 17 completed) (Note Box 5 below)
 - DELETIONS OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- 5. Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or

declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

Microfiche Computer Program (Appendix) 6.

12/01/97

7				
7 Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)				
a Computer Readable Copy b Paper Copy (identical to computer copy)				
c. Statement verifying identity of above copies				
ACCOMPANYING APPLICATION PARTS				
8 Assignment Papers (cover sheet & documents(s)) 9 a. 37 CFR 3.73(b) Statement (where there is an assignee)				
b. Power of Attorney				
0 English Translation Document (if applicable)				
1 a. Information Disclosure Statement (IDS)/PTO-1449				
_ b. Copies of IDS Citations				
12 Preliminary Amendment				
13. X Return Receipt Postcard (MPEP 503) (Should be specifically itemized)				
14 a. Small Entity Statement(s)				
b. Statement filed in prior application, Status still proper and desired				
15 Certified Copy of Priority Document(s) (if foreign priority is claimed)				
16. X Other: Two checks in the amounts of \$760.00 and \$540.00				
17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:				
Continuation Divisional Continuation-in-part (CIP)				
of prior application No:09/078,604				
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Customer Number or Bar Code Label				
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X Correspondence Address Below				
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UNITED STATES PATENT APPLICATION

for

A HAND-HELD VIDEO VIEWER AND REMOTE CONTROL DEVICE

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A HAND-HELD VIDEO VIEWER AND REMOTE CONTROL DEVICE

RELATED ART

This application is a continuation-in-part of U.S. Serial No. 09/078,604 filed May 13, 1998.

FIELD OF THE INVENTION

The present invention relates to the field of control devices for user control of home appliances. Particularly, the present invention relates to a control device having access to network delivered information and for displaying video programming on a hand-held remote device.

BACKGROUND OF THE INVENTION

It has become increasingly important to enable home consumers to obtain and use a wealth of information received from outside the home or business and to use such information for viewing or controlling home/business appliances or consumer electronics. In recent times, the Internet and the World Wide Web (WWW) have been important new sources of electronic information. In addition, radio and television broadcasts available via cable or satellite communications are also additional sources of information available for the home or business consumer. Additionally, the conventional telephone network is yet another source of information and a valuable information communication medium.

Although these various forms of video programming, information sources, and electronic communication media exist, the prior art systems have been unable to effectively combine these information sources and communication media into a readily usable and convenient control device. Because the information sources provide such a wealth of information in a highly divergent set of formats, it has been particularly difficult to combine this divergent information into a convenient and robust control device. Moreover, because each of the various information

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sources may not be available at all locations, it is necessary to provide a control device that is uniformly useful no matter where it may be deployed.

Various forms of prior art devices exist. For example, U.S Patent No. 5,710,605 entitled "Remote Control Unit for Controlling a Television and Video Cassette Recorder with a Display for Allowing a User to Select Between Various Programming Schedules" discloses a universal remote control unit for controlling a television and videocassette recorder. The device includes a data input mechanism securable to a telephone line for receiving signals from an external supplier representing television programming schedule items. The device includes a display mechanism for displaying television programming schedule items and television and videocassette operating functions in a hierarchy of textual and scrollable selection lists. The device also includes a user-operable selector mechanism for allowing a user to scroll through the lists and then select television programming schedule items or television and videocassette Although the device provides a wealth of functionality, the user interface is functions. excessively complex and confusing. The user is presented with a myriad of buttons and menu displays, which easily confuse an unsophisticated user. Further, the display on the remote control unit is not described as useable to display television programming and the device provides only a telephone line link for receiving external information via the telephone network.

Further, other devices exist in the prior art for receiving information via the vertical blanking interval (VBI) in a cable television transmission. Using the VBI, data can be transmitted across a video cable at the same time as real-time video images are transmitted on the cable. Using this technique, data can be transmitted to a wide audience using the cable television infrastructure. Many conventional set-top boxes are coupled to such cable TV systems and receive data transmitted during the VBI.

Other prior art systems describe various ways of displaying and manipulating electronic programming guide (EPG) information on a conventional television (TV) monitor. These onscreen television guides necessarily interrupt the standard video signal while the user is manipulating the EPG display on the monitor. Such interruptions affect all those who are viewing

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the monitor. Further, no privacy can be provided for a user manipulating the EPG information if the information is displayed on the TV monitor.

Thus, a better control device is needed for remotely viewing television signals and for receiving information from external sources and for using the information for controlling home appliances including television sets.

SUMMARY OF THE INVENTION

A control device and system for remotely viewing video programming and for monitoring and controlling electronic devices is disclosed. In one embodiment, a control device for monitoring and controlling an electronic device, includes: 1) a base unit having a microcontroller and a data interface coupled to the microcontroller for sending and/or receiving external information, the base unit further including a video interface for receiving video programming signals; and 2) a hand-held remote device in communication with the base unit via a data link, the hand-held remote device further including a display component for displaying at least a portion of the external information received from the data interface, the display component also for rendering video content in the video programming signals received from the video interface.

BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 illustrates a block diagram of the architecture of the base station of one embodiment.

Figure 2 illustrates a block diagram of the architecture of the remote unit of one embodiment.

Figure 3A illustrates the remote unit and base station of one embodiment.

Figures 3B and 3C illustrate other alternative embodiments of the remote unit.

Figure 4 is a flowchart illustrating the processing logic used in one embodiment for handling key activations in the remote device.

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Figure 5 is a flowchart illustrating the processing logic used in one embodiment for processing the receipt of external information at the base station.

Figures 6-11 are more detailed flowcharts illustrating the processing logic used in one embodiment for processing the receipt of external information at the base station.

Figures 12-53 illustrate various screen displays and menu configurations for invoking the various functionality enabled by the present invention.

Figure 54 illustrates a block diagram of an alternative embodiment of the architecture of the base station of the present invention.

Figure 55 illustrates the basic system configuration of the television monitor, base unit, and hand-held remote device.

Figure 56 is a structure diagram illustrating the components of the base unit of one embodiment.

Figure 57 is a structure diagram illustrating the components of the hand-held remote device of one embodiment.

DETAILED DESCRIPTION OF ONE EMBODIMENT

A control device and system for remotely viewing television programming and for monitoring and controlling electronic devices is disclosed. In the following description, for purposes of explanation, numerous specific details are set forth in order to provide a thorough understanding of the present invention. It will be evident, however, to one of ordinary skill in the art that the present invention may be practiced without these specific details. In other instances, well-known structures and devices are shown in block diagram form in order to avoid unnecessarily obscuring the present invention.

The Personal Television Viewer and Navigator (referred to herein as the PTVN) is a hand-held remote device which forms a general, nearly unlimited standard user-interface for home appliance control, including television, video cassette recorder (VCR), and stereo control,

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and applications including ("Home Browsing"). The PTVN is equipped with a display unit and selection means to choose among the possible functions and features of the PTVN. A diagram of various embodiments of the PTVN is illustrated in Figures 3A-3C. A block diagram of another embodiment of the PTVN is illustrated in Figure 57. Block diagrams of the base station 100 and the remote device 200 are shown in Figures 1 and 2, respectively. Figure 56 illustrates a block diagram of another embodiment of the base station of the present invention.

Referring now to Figure 1, a block diagram illustrates the system architecture of the base station of one embodiment of the present invention. The base station 100 receives external information from a cable television provider 110 and/or external information received from the Internet 114 or other digital sources 115 via telephone network 112. In regard to the external information received from cable provider 110, a video signal with digital data inserted in the vertical blanking interval (VBI) is received by base station 100 and a tuner 120 therein. It will be apparent to those of ordinary skill in the art that there are alternative techniques other than the use of the VBI to transmit data with a video signal. For example, the overscan portion of a video signal or a separate carrier may be used for the transmission of data with a video signal. Tuner 120 separates out the various channels received from cable provider 110. The raw video signals can be passed through to television 140 or videocassette recorder (VCR) 142 as a standard video input. VBI decoder 122, coupled to tuner 120, receives a data stream in the vertical blanking interval (VBI) of a particular predetermined channel. Using conventional techniques, the data encoded in VBI can be extracted and provided to a microcontroller 130. As mentioned above, VBI decoder 122 may alternatively be another conventional type of decoder for decoding data from the overscan portion or a separate carrier on the predetermined channel. Microcontroller 130 decodes the information, descrambles it (if necessary) and looks for service and control information in the VBI information. For example, enabling or disabling signals of the PTVN

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service will cause the base station controller 130 to send data to the remote device 200 or not (conditional access). Microcontroller 130 receives the VBI encoded data as one source of external information provided to base station 100.

In addition or solely, base station 100 may include modem 136 for receiving digital information via the conventional telephone network 112. Using conventional technology, modem 136 can be coupled via telephone network 112 to the Internet 114 via an Internet service provider (not shown). Additionally, modem 136 can be coupled through telephone network 112 to a point-to-point or proprietary data source 115 using conventional techniques. Information received from these external sources via telephone network 112 is passed through modem 136 to microcontroller 130 as an additional external information source. Alternatively, base station 100 may also include an interface for coupling the base station 100 to a conventional computer network. External information from a conventional computer network may then be received by the base station 100 and microcontroller 130 therein. Microcontroller 130 may then store this external information in memory 131 for subsequent processing. Memory 131 may be a volatile type of memory such as a dynamic random access memory (DRAM) or a non-volatile form of memory such as flash memory or battery-backed CMOS memory. Microcontroller 130 and the other electronic components of base station 100 are powered by power supply 132, which receives external power through power adapter 144. Power supply 132 may also be used to supply an internal power source for charging circuits 134, which are used to charge the batteries of the remote device 200.

Microcontroller 130 includes an interface to a remote interface device 138 with which base station 100 may communicate with the remote device 200 or personal television viewer and navigator (PTVN) of the present invention. Remote interface 138 may be a conventional infrared (IR) link with a corresponding interface transmitter/receiver 210 within remote device 200. If an

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IR interface is used, the transmission of data can be one-way (i.e. from remote device 200 to base station 100) or two-way (i.e. both the base station 100 and the remote device 200 have IR transmitters and IR receivers). In an alternative embodiment, remote interface 138 may be a direct coupling connection between base station 100 and remote device 200. Direct coupling can mean a simple point to point connection e.g., with digital logic or optical levels. This also includes the use of standard interfaces (parallel or serial), such as Centronics (PC parallel port), or serial communications via RS232 or RS422, use of standard networks like ethernet, or even such enhanced communication interfaces like SCSI, USB (universal serial bus) or even IEEE1394 Firewire. In fact, one could use any kind of standard interface available in a conventional set-top box, personal computer, or information appliance. In addition, these direct coupling interface configurations include all those interfaces using galvanic, i.e. direct electrical contacts or other means such as optical isolation or magnetic/capacitor means. In another alternative embodiment, the remote interface 138 may be a wireless radio frequency (RF) communication interface between the remote device 200 and the base station 100. Such RF communication methods are well known and used, for example, in cordless telephones.

For identification of the present invention, a unique number resides inside the base station 100 secure within EEPROM 133. The unique identification number is pre-loaded at manufacture time and enables the base station 100 and the PTVN 200 to be uniquely identified from all other similar devices. Alternatively, the identification number, secured in an EEPROM or similar conventional non-volatile memory device, may also be stored in the PTVN 200 itself. In this manner, the PTVN 200 can be uniquely identified.

If the hand-held PTVN device 200 is coupled to the base station 100 via electrical contacts, the PTVN 200 is supplied with information (in addition to charging the batteries) only when PTVN 200 is coupled to the base 100. In this case, no buffer memory is needed inside the

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base 100. The technically most simple form of supplying the PTVN hand-held device 200 with information is transmitting the data via galvanic connectors during charging of the batteries. If programming changes are transmitted more often than other data, laying back the hand-held device 200 onto the base station 100 even for a short span of time will be sufficient to update PTVN 200 data contents. Having the hand-held 200 on the base 100 for several hours to charge the batteries, e.g. over night, there is plenty of time to update all information and even application programs inside the hand-held device 200. In addition to information downloaded to the hand-held device 200, data, especially configuration information, is also uploaded from the hand-held device 200 into the base station 100. This will prevent a totally new installation of the hand-held device 200 if the batteries have drained completely.

Referring now to Figure 2, a block diagram illustrates the internal architecture of the remote device (PTVN) 200 of one embodiment of the present invention. Remote device 200 includes a base station interface 210 for receiving and transmitting data with the base station 100. As described above, base station interface 210 may be a conventional IR or RF wireless data interface or a conventional hard-wired data connection. Data received by remote device 200 via base station interface 210 is fed to microcomputer 220 within remote device 200. Microcomputer 220 may then store this information in memory 222. Memory 222 may be a conventional dynamic random access memory (DRAM), a static random access memory (SRAM), or a non-volatile form of memory such as flash memory or battery-backed CMOS memory. Microcomputer 220 and the other electronic components of remote device 220 are powered using power supply 224.

Remote device 200 includes a selection device 230 and output devices including display device 240 and speaker 250. Selection device 230 includes an array of function keys, softkeys, alphanumeric keys or other input keys for providing user input and command selections. This

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input is provided through selection device 230 to microcomputer 220. Display device 240 provides a means by which information and command selections may be displayed on remote device 200 to a user. Using a conventional liquid crystal display (LCD) device, microcomputer 220 can formulate information displays and command selection menus for display on display device 240. Display device 240 includes a display control component 242 including logic for controlling the display of information on LCD 246. In addition, display device 240 includes a display memory (RAM) 244 used for retaining information for display on LCD 246. Speaker 250 is used to provide output for audible information as controlled by microcomputer 220.

Optionally, the remote device 200 can be equipped with a reading interface 260 for smart cards (SC) and the like or a plug-in module interface 262. The smart cards can be cards with the standard magnetic stripe or more advanced with built-in memory or computer chip. Readout may be done directly (reading the magnetic information or using contacts for getting the data galvanicly off the chip) or indirectly using e.g. inductive or capacitive coupling. In some cases, it may be useful to build the interface into the base station 100 (or the set-top box with which the remote device 200 is working).

Such smart cards can be used for identification or they can supply a small amount of (e.g. decremented) data to enable services e.g. like telephony cards. Also, a conventional money card/cash card may be used to pay for services or load cash onto the card. In the future, SC's may be used to store complete software applications, program code and/or data, which is loaded into the remote device 200. There, they will run on the built-in hardware and/or simply present the data on the card in a special way. Taken to the extreme, the remote device 200 may serve only as a user interface, while the actual application runs on the smart card.

The smart card is useful, especially when the remote device 200 is working with an online central server. This may be done through a modem in the base station 100 or the set-top box

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or a special channel (in-band or out-of-band) of the cable system. The remote device 200 then typically will be equipped with a wireless communication capability (infrared/radio frequency) to the base station to directly interact with the server system at the central point. The remote device 200 and base station 100 transmission is encrypted like the total communication session (see below) for more security.

The remote device 200 allows operation with different smart cards of different service providers. Inserting the smart card into the remote device 200 will activate a suitable mode, e.g. starting the selection menu for that special service. In addition, the customers using the remote device 200 can be prompted to input the smart card after selecting a special menu item on the remote device 200 display 240. The remote device 200 may be used to store personal profiles of the customer internally (or externally on the smart card), e.g. the user's sizes of clothing or personal preferences.

After reading the smart card, the user may additionally be asked to identify himself/herself through input of a smart card personal identification number (PIN, number, or code) for enabling special services. Even more security can be provided if the service access is combined with a special PIN for that specific remote device 200. In addition, identification can be performed using conventional biometric analysis, fingerprint analysis, or eye scanning techniques, instead of or in addition to the above-described PIN technique.

The latter security model will allow for critical services limited for use only with a particular unique remote device 200. This feature is enabled through the unique identification number/code of each remote device 200. For example, a "login process" will establish a secure (encrypted) communication path with (at least) one known central server. The use of conventional ciphered communication will enable secure identification and transactions with the

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customer. Applying conventional banking standards for encryption and communication protocols will enable the remote device 200 to take part in modern home-banking business.

After identifying himself/herself, the customer will be able to do home-shopping, internet-shopping, home-banking, pay for services (as pay TV, (N)VOD, PPV) or other special services of his/her conventional set-top box.

"Logging in", that is additionally identifying the user through PIN and number/code of the remote device 200 will set up even further possibilities for the user. For example, the user's credit limits granted by the service provider (or via direct bank contact) may be used to allow couch-shopping of more expensive goods and services. In addition, identification can be performed using conventional biometric analysis, fingerprint analysis, or eye scanning techniques, instead of or in addition to the above-described PIN technique.

Currently, the memory 222 of the PTVN hand-held 200 is designed to meet the requirements of the standard EPG navigation purpose. A simple interface for memory expansion can be included to cope with new demands. New software applications or updates may be downloaded to the hand-held device 200 from the base station 100 if additional expansion memory is provided.

By use of the EPG capability of the present invention, a user of the remote device 200 can scan through the available television channels (by channel increment/decrement or input of a channel number). Concurrently, a message on the PTVN 200 is displayed to identify the program running on that channel at that very moment. The user may receive additional information on that program by selection of a function key on the PTVN 200. In this manner, a user can identify whether a channel contains something of interest even when a commercial is running on the channel.

The EPG data received contains ranking in terms of the "quality" of a transmission or in terms of the content of the program, such as whether the program shows sex or violence. In a

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special setup mode, the PTVN 200 may be configured to not list programs or to disable switching to a channel showing a program above or below a particular quality or content threshold. These settings can be password protected so children are unable to change the configuration.

The PTVN 200 and base 100 hardware normally comes fully equipped with the software and firmware needed for an application. As each system is individually addressable, as described above, broadcast information as well as individual information may be supplied to the base station 100 and the PTVN hand-held device 200. Thus, the software in the base station 100 and in the hand-held device 200 can be received via external sources just as any other external information.

An electronic program guide (EPG) typically does not need a back channel from the customer's home/business to the information source location. In spite of that, there are a lot of possible applications, which will benefit from the availability of a backward communication facility.

In the present invention, the telephone network 112 and modem 136 may be used as a back channel for sending information from the PTVN 200 or base 100 to the information provider. Alternatively, the PTVN 200 may use radio frequency (RF) signals via cable as implemented for conventional Pay-Per-View (PPV) services, a cable modem, and/or a separate channel on the cable.

Referring to Figure 3, the PTVN 200 is shown removably inserted and electrically coupled into the base station 100. The PTVN includes a display unit 240 and various function keys 310, 311, and 312. Menus or selection lists are displayed for the user on display 240.

Selection can be performed by using (sole or in combination) dedicated keys, so-called softkeys and function keys 310 and 311 (functions controlled by contents displayed in the display, positioned on any side of the display 240 in any combination, preferably left and right to the display 240), active display areas (stylus or finger touch selection) and/or voice input. Additionally, even such advanced technologies as voice input or eye focussing may be used (by

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special equipment the direction of the eye's look is detected and used to pick a menu item for selection).

The selection means serve to choose from fixed functions (dedicated keys) or from menus displayed on the screen of the PTVN display device 240, the content of which is varying during the selection process. The menus may be presented in form of selection lists (scrolling or fixed lists) or preferably in form of "Ping Pong Menus". The term "Ping Pong Menus", as used herein, refers to the selection of one item from a selection list on one side of the display 240 causing a new (context dependent) menu or submenu for further selections to appear on the other side of the display 240. A further user selection causes the new menu or submenu to appear on the original side of the display 240. This back-and-forth menu display action resembles back-and-forth action in a ping pong game. The dual-sided ping pong menu structure also supplies a visual cue for the user to find the way back in the menu hierarchy. The selection means may be designed for use in one- (for left- or right-handers) or two-handed style.

The PTVN 200 includes a selection for invoking a "Back" function, which causes the PTVN 200 to redisplay a previous submenu. In this manner, the user may "undo" a current selection by using the "Back" selection to go back to a previous menu and making another selection. In addition, a "Menu" selection is provided by the PTVN 200, which allows the user to activate the menu hierarchy from the top. In this way, the user can go all the way back to the beginning and begin a new sequence of function selections and submenu displays.

Using the PTVN 200 menus, a lot of menu items may be very often selected, others seldom or never selected. This user specific selection pattern is recorded in the PTVN 200 to enable rearrangement of the menus such that the more interesting (i.e. most often selected) items appear on the first (or topmost) positions and/or are presented in more detail. The less interesting (i.e. the least often selected) will slide to the lower positions or vanish completely from most menus (i.e. will only be included in 'all' selections). This automatic adoption of user preference may be enabled or disabled by the user. In addition, the user can select explicit preferences (s)he wants to see often and explicit preferences may be used to specify the position of the menu items.

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The memory in the PTVN 200 is limited, so only a certain amount of information (program data and additional information related to the programs) can be stored in the PTVN 200. Most people do not regularly watch all television channels, but use only, say ten channels, for example. In this case, the PTVN 200 may store additional information on the transmissions of these ten often-viewed channels and less information on the other channels that are seldom viewed. The same policy applies to certain types of programs. As user viewing and selection habits change, the PTVN 200 adapts itself to the user automatically by tracking current user selections.

The functions and features of the PTVN 200 are primarily built-in. But most of the information and data to be processed and displayed will be loaded into the PTVN 200 from an external source. Also, the code for special or new functions may be loaded into the PTVN 200 for temporary or continuos use (software / firmware update). The PTVN 200 may download its own software (not only data) thus adapting itself to future needs.

The sources for additional or external data or code for the PTVN 200 may be via any one, but is not limited to one, of the following:

- 1) memory card, chip card, smart card, plug-in modules via a smart card interface 260 or a plug-in module interface 262;
- 2) download over broadcasting networks: TV analog/digital; terrestrial, cable, satellite; VBI, dedicated channel, part of information multiplex (digital channel); in-band, out-of-band; unidirectional or bi-directional; radio analog/digital (digital audio broadcast DAB) and other RF services like pagers;
- 3) download over telecommunication networks: telephone line, RF mobile communication, cable modem, and power line networks (i.e. conventional companies, such as Echelon Corp. of Palo Alto, Ca. provide broadcast and point to point network communications using modulated signals on standard power lines); and/or
- 4) download from dedicated computer networks such as local area networks (LAN), metropolitan area networks (MAN), or wide area networks (WAN).

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The base station 100 will work with its own dedicated interface to the above-mentioned communication paths in a standalone configuration or the base station 100 can be (partly or totally) integrated into conventional analog/digital set-top boxes (STBs), television (TV) sets, videocassette recorders (VCRs), personal computers (PCs), or network computers (NCs). The PTVN 200 will be coupled to those base station 100 interfaces directly (e.g. galvanicly) or communicate via IR or RF connection.

The PTVN 200 is uniquely "identifiable" using a unique internal number, defined and incorporated into the base station 100 and/or each PTVN 200 at the time of manufacture. Thus, the PTVN 200 can be used for ordered services. By use of the unique internal number, the PTVN 100 is able to handle personal profiles ("personifiable") e.g., personal viewing choices on TV, own bookmarks for www, personal data, and the like based on the unique internal number.

Though the primary user-interface for the PTVN 200 is the hand-held display 240, an on-screen display on TV set 140 is also possible for example, if several people want to share the content (decision what to view, where to go, what to order) displayed by the present invention.

Though primarily intended for EPG use (electronic program guide), the PTVN 200 can be beneficial in a lot of applications as standard user-interface. Examples are given as follows:

- (1) TV, VCR, music radio (EPG): scheduling, navigating, programming, service ordering, such as video on demand (VOD), near video on demand (NVOD), pay per view (PPV), impulse pay per view (IPPV), and other services;
- (2) remote control (RC) for different TV sets, VCR's, satellite receivers, high fidelity (HI-FI) equipment, set-top boxes, and the like;
- (3) terminal for "net-global" and personal messages, uni/bi-directional, email, home banking, home shopping, service ordering and the like;
 - (4) internet/www access: as navigator to find sites, also to display Web content;
- (5) terminal for information services e.g., cinema/theater/opera schedule and ordering, weather forecast (hurricane warning), local news/events/activities, public information (opening

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hours ...), sport scores, stock quotes, traffic (jam, airport information), games, commercials, and the like;

- 6) calendar, reminder, personal database;
- 7) remote control for household appliances: kitchen, air condition, heating, security, and 5 the like; and/or
 - (8) portable intelligent phone or video phone (if wireless base station communication).

In conventional analog cable systems, the present invention needs access to a specified channel, which carries its information in the VBI. There is a conflicting situation if that channel is not reachable because there is a cable TV structure where only one channel can be viewed at a time. Fortunately all households can receive one of a defined set of channels. So if the PTVN 200 data is traveling on the VBI of one of those channels and the base station has its own tuner/reception capability, continuous data transport is guaranteed.

As the channel mapping throughout the U.S. is not always consistent, the present invention may need to identify the channel map to use in an actual system. If channel programming or the multiple service operator (MSO) may automatically be recognized, the tuner 120 in the base station 100 will scan the available channels to get the necessary information.

All the PTVN 200 external information is received/transmitted in encoded format via data packets in the VBI of one or more channels or via the telephone network 112 or received/transmitted via the telephone network 112 alone. The information is received by the tuner 120 or modem 136 in the base station 100 that is individually addressable. If the service is enabled, data is fed to the hand-held PTVN 200, which the customer will use to navigate through the programming information received by the tuner 120 or modem 136.

In an alternative embodiment of the present invention, the data transport via VBI is replaced by the use of the telecommunication network alone. This embodiment is illustrated in Figure 54. Note that the tuner 120, the decoder 122 and all antenna related parts can be omitted from the base station 100. Instead, a conventional modem 136 alone has been included.

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From a cost point of view, the remote device 200, if only used for TV schedule navigation, needs only a simple conventional modem, e.g. 14400 bps (bits per second). Downloading, for example, 256 Kbytes of new data will take approx. 3 minutes, which is fast enough in most situations. However, thinking of more robust features, especially WWW-access, a faster modem (up to 56 kBaud) would be more desirable.

At least one server must be installed to supply the remote device 200 with information transmitted over the Internet. One can start with a very simple server, which will grow proportionally to the number of subscribers. At some later time, several servers spread over the U.S. or other countries will be helpful.

The server for a remote device 200 is connected, through base station 100, with at least one Internet service provider (ISP) to allow access to the Internet through a user's dial-in points (local call) for the remote devices 200. During certain low-traffic time, the remote devices 200 installed will, in a randomly distributed fashion, call the ISP to get in contact with the server for the remote device 200.

Once a remote device 200 reaches the server, a secure communication session is opened to exchange data in a secure fashion. A remote device 200 identifies itself by sending its unique number/code. The secure communication session may also be the basis for additional services. In one embodiment, the unique number/code can only be used one time per day to receive new data. This procedure additionally secures the system from illegal hardware clone systems. In some cases, the remote device 200 may also be called directly by a central system to initiate the communication session from the server side.

The external data the remote device 200 may receive depends on the services to which the particular customer has subscribed. In addition to the automatic dial-in during off-peak hours (e.g. during the night), the server can be called manually by the customer using the remote device 200 to get immediate updated external information (e.g. sports scores, news headlines, etc).

Figures 4 through 11 are flowcharts illustrating processing logic performed by the firmware or software within base station 100 and remote device 200. As would be apparent to

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one of ordinary skill in the art, this software or firmware can reside in memory 131 in base station 100 and be executed by microcontroller 130. Also, the firmware and software can reside in memory 222 of remote device 200 and be executed by microcontroller 220. It will also be apparent to one of ordinary skill in the art that the functionality described herein may be equivalently implemented on either base station 100 and/or remote device 200 or a remote device 200 combining the functionality of both base station 100 and remote device 200.

Referring now to Figure 4, a flow diagram illustrates a processing logic performed with a remote device 200 when a function key 230 is activated. In processing block 410, microcontroller 220 waits for user interaction with one of the function keys 230. When a user presses one of the function keys 230, microcontroller 220 receives the key activation in processing block 415. For example, the user may have entered a key activation corresponding to a "type" menu item. In processing block 420, microcontroller 220 scans the function key matrix 230 either directly or indirectly through a specialized integrated circuit. Such keyboard matrix integrated circuits are well known to those of ordinary skill in the art. In processing block 425, microcontroller 220 detects and identifies the key depressed by the user. In processing block 430, microcontroller 220 performs a lookup to determine the current mode and status corresponding to the depressed key using internal tables stored in memory 222. Using these tables, microcontroller 220 identifies a next step to perform in response to the key depression. For example, microcontroller 220 may display a submenu on the opposite side of the screen display on display device 240. This submenu would correspond to the menu item selected by the user. For example, the submenu may correspond to the "type" menu item. In processing block 435, microcontroller 220 writes the submenu corresponding to the menu item selected by the user into display memory 244. The selected menu item on the original side of the display device 240 is emphasized or highlighted to identify the selected menu item. In processing block 440, microcontroller 220 sets the appropriate mode or status indicators to correspond to the selected menu item. This action configures the software to accept the next user selection. As a result, a list of program(s) corresponding to the user selections is displayed and processing flow loops

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back to processing block 410 where microcontroller 220 waits for the next user function key activation.

Referring now to Figure 5, a flowchart illustrates the processing logic performed by base station 100 when it receives external information from cable provider 110 via the VBI portion of the video and data transmission from cable provider 110. Similar processing logic could be performed for external information received via telephone network 112 and modem 136.

In processing block 510, microcontroller 130 initializes decoder 122 for continuous reception of specific data in the form of pages or subpages. Tuner 120 is tuned to a dedicated TV channel to receive this data. In processing block 515, microcontroller 130 waits for data detected and decoded by decoder 122. In processing block 520, decoder 122 receives data from cable provider 110 through tuner 120. Decoder 122 signals microcontroller 130 of the reception and data. In processing block 525, microcontroller 130 reads the data provided by decoder 122 and enables decoder 122 to receive the next data transfer. In processing block 530, microcontroller 130 checks that the received data is error free by checking the parity bits, hamming bits, cyclic redundancy check, and/or other commonly used methods for validating the integrity of transferred data. In processing block 535, microcontroller 130 decrypts the received data and determines the type of the received data. In processing block 540, microcontroller 130 processes the data according to its type and according to the previously configured system parameters, which enable or disable the processing of particular messages or data types. The processing performed in processing block 540 is described in more detail in connection with Figures 6 through 11 as described below. Once microcontroller 130 processes the received data in processing block 540, processing loops back to processing block 515 where microcontroller 130 waits for the next data transmission.

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Referring now to Figure 6, the processing performed on external messages received by base station 100 is illustrated. As can be seen in Figure 6, external messages received by base station 100 in one embodiment can contain three types of addressing. In the first addressing type, the message can contain the address of an individual base station 100. In this situation, the addressed base station will process its own messages addressed to itself. In a second type of addressing, an external message may address a group of base stations 100. In this situation, a particular base station 100 that is a member of the addressed group will process these types of messages. In the third type of addressing, external messages may be identified as broadcast messages for all base stations 100. In these situations, any base station 100 receiving a broadcast message will process the message. Referring now to decision block 610 illustrated in Figure 6, the external message is tested for the presence of a specific address corresponding to the individual base station 100 processing the message. If the message addresses that particular base station 100, processing block 615 is performed and the external message is decoded and processed as will be described in more detail in Figures 7 and 8. In decision block 620, the message is tested for the presence of a group identifier corresponding to a group to which this particular base station 100 may be a member. If this particular base station 100 is a member of the addressed group, processing block 625 is performed. Address group message processing is described in more detail in connection with Figure 9. In decision block 630, the external message is tested for the presence of broadcast addressing. If the received message is a broadcast message, processing block 635 is performed. The broadcast message processing is described in more detail in connection with Figure 10. Having completed processing for the incoming external message, processing terminates at end block 690 illustrated in Figure 6.

Referring now to Figures 7 and 8, the processing logic performed for processing an incoming external message addressed for a particular base station 100 is illustrated. Referring to

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Figure 7 and decision block 710, if the incoming message is an enabling/disabling message, processing block 715 is performed. In this case, the appropriate control bits in internal secure memory are set or reset corresponding to the information in the enabling/disabling message. Processing then terminates at end block 790. If the incoming external message is a control message (decision block 720), the appropriate modes/parameters corresponding to information in the control message are set or reset accordingly (processing block 725). Processing terminates at end block 790. If the incoming external message is a personal message (decision block 730), the personal message is stored in local memory 131 for later transfer to remote device 200 or for direct transfer to remote device 200 if communication with remote device 200 is currently possible. Processing terminates at end block 790. If the incoming external message is a base station 100 software/firmware download message (decision block 740), the software/firmware download data is checked for correctness or any presence of data corruption. If the incoming download is valid, the local base station 100 firmware or software is updated with the download data (processing block 745). Processing terminates at block 790. If the incoming external message is not any of the types of messages corresponding to those tested in decision blocks 710, 720, 730, or 740, processing continues to the bubble labeled A illustrated in Figure 8.

Referring now to Figure 8, the incoming external message is tested in decision block 810. If the incoming message is a remote device software/firmware control code message, the control code is stored in memory 131 for later transfer to remote device 200. Alternatively, if communication with remote device 200 is currently possible, the received control code is transferred directly to remote device 200 where the control code is applied. Upon completion of the processing in processing block 815, processing terminates at end block 890 illustrated in Figure 8.

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Referring now to Figure 9, the processing performed by base station 100 for group address messages is illustrated. In decision block 910, the incoming external message is tested. If the incoming message is a local area message or local area data, processing block 915 is executed. In this case, the local area message or local area data is transferred to remote device 200 or queued for later transfer to remote device 200. Processing then terminates at end block 990. If the incoming message is a control message (decision block 920), the appropriate modes/parameters are set or reset in processing block 925. Processing terminates at end block 990. If the incoming group address message is a base station 100 software/firmware download message (decision block 930), the incoming download is verified for correctness in processing block 935 and the base station 100 memory is updated to correspond to the downloaded information. Processing terminates at end block 990. If the incoming group address message is a remote device software/firmware/control code download message (decision block 940), the software, firmware, or control code is transferred to remote device 200 or queued for later transfer to remote device 200 when communication is available (processing block 945). Processing terminates at end block 990.

Referring now to Figure 10, the processing performed by base station 100 for an incoming broadcast message is illustrated. In decision block 1010, if the incoming broadcast message is a general message or general data, the general message or general data is transferred to remote device 200 or queued for transfer to remote device 200 when communication is possible (processing block 1015). Processing terminates at end block 1090. If the incoming broadcast message is a control message (decision block 1020), the appropriate modes/parameters are set or reset in processing block 1025. Processing terminates at end block 1090. If the incoming broadcast message is a base station software/firmware download message (decision block 1030), the download data is verified for correctness in processing block 1035 and the local

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base station 100 code is updated. Processing terminates at end block 1090. If the incoming broadcast message is a remote device software/firmware control code download message (decision block 1040), the software/firmware or control code is transferred to remote device 200 or queued for later transfer to remote device 200 when communication becomes possible (processing block 1045). Processing terminates at end block 1090.

Referring now to Figure 11, the processing logic performed by remote device 200 is illustrated. In decision block 1110, the incoming external message received by remote device 200 is tested. If the incoming data is a message, processing block 1115 is executed. If the incoming message is an urgent message, the message is displayed immediately to the user and acknowledgement by the user is requested. If the incoming message is not an urgent message, the message is stored for automatic or manual recall if the remote device 200 is later used by the user (processing block 1115). Processing terminates at end block 1190. If the incoming external data is bulk data (decision block 1120), processing block 1125 is executed. If the bulk data is related to programming changes in remote device 200, the old data in remote device 200 is marked as invalid and the changes are stored. Automatic and/or manual recall of information about the changes is set up within remote device 200. If the bulk data is new/additional data, the new/additional data is stored for processing in remote device 200 (processing block 1125). Processing terminates at end block 1190. If the incoming data is a remote device software/firmware/control code download (decision block 1130), the download data is verified for correctness in processing block 1135. Subsequently, the validated download is stored in remote device 200 as updated code. Processing terminates at end block 1190.

Referring now to Figures 12 through 53, the figures illustrate the various screen displays and function key manipulations available on the remote device 200 of one embodiment of the present invention. As illustrated in Figure 12, a user interface and menu 1200 is illustrated.

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Such a menu can be displayed on display device 240 in remote device 200 of one embodiment. In the present invention, each menu is configured with at least two sets of menu items in a distinct display area on remote device 200. For example, as illustrated in Figure 12, a first display area 1210 contains a vertical arrangement of menu items available for selection by a user using function keys 310 as illustrated in Figures 3A, 3B, and 3C. Similarly, the menu 1200 shown in Figure 12 includes a second display area 1215 containing a second vertical arrangement of menu items. As consistently applied throughout the user interface in the present invention, the menu items in menu 1200 in one display area corresponds to a user selection of a particular menu item in a different display area. For example illustrated in Figure 12, a menu item 1225 in first display area 1210 has been selected by a user. In response to the menu item selection 1225, the submenu selection items in second display area 1215 are displayed. As shown in Figure 13, the selection of a different menu item 1325 causes a different set of submenu items to be displayed in second display area 1215. Similarly, other menu selections in first display area 1210 cause a corresponding presentation of submenu items in second display area 1215.

Referring again to Figure 12, menu 1200 also includes a third display area 1220. Third display area 1220 is a status display area for presenting information corresponding to the history of the most recent series of command selections. Using the status area 1220, the user may quickly determine where he/she is in a command selection hierarchy. The information presented in status area 1220 will become more clear in the description of the menus that follow. In the example illustrated in Figure 12, the phrase "TV-program" displayed in status area 1220 corresponds to a current mode, which in this example, is a mode for selection of TV programming (as opposed to the selection of TV remote control (RC) or video cassette recorder (VCR) modes, and the like). The status area 1220 is also used to display a history of menu selections as will be apparent in the examples that follow.

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Referring again to Figure 12, the menu items illustrated in the first display area 1210 correspond to the initial set of command or function options available in one embodiment of the present invention. These commands or functions support the functionality of remote device 200 for enabling a user to easily and efficiently manage the selection of video programming and data available from a cable provider 110. The first such menu item is the "Topic" menu. The "Topic" menu item enables a user to select the type of television programming desired. As shown in Figure 12, the types of topics available in one embodiment include movies, sports programming, entertainment programming, music programming, or current affairs programming. It will be apparent to one of ordinary skill in the art that other types of programming options or topics may be displayed and selected in equivalent alternative embodiments. In a second menu item of the list of items in first display area 1210, the "Time" menu item is available. The "Time" menu item enables a user to identify a time frame of interest during which various programming options are available. The submenu options corresponding to the selection of the "Time" menu item is illustrated in the second display area 1215 shown in Figure 13. For example, once a user has selected a menu item from the first display area 1210, such as the "Time" menu item 1325, the user may subsequently select one of the submenu items presented in the second display area 1215. If, for example, the user selects the "Now" submenu item 1330, the resulting display for this example is illustrated in Figure 14. Referring now to Figure 14, note that the information displayed in status area 1220 identifies the first menu item selected ("Time") followed by the second submenu item selected ("Now"). The information displayed in status area 1220 thereby identifies the chain of command or menu item selections made by a user. The information display 1400 illustrated in Figure 14 corresponds to the example of a user selection of a "Time" menu item followed by the selection of a "Now" submenu item. As a result of these user selections, the display area 1410 identifies at least a portion of the television programming

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available at the present time. In one embodiment, the information display 1410 identifies the time when a particular program will start, the channel or network upon which the program will be televised, and a title identifying the content of the program. It will be apparent to one of ordinary skill in the art that additional or augmented information associated with the available individual programs may similarly be displayed with an information display 1410. In a further opportunity for user interaction, the user may individually select one of the programming options identified in information display 1410. In the example shown in Figure 14, a user has selected the fifth programming option 1415 causing this option to be highlighted or uniquely displayed to identify the selected programming option. As a result of this programming option selection and the activation of a softkey titled "Info" 1417, a resulting display, as shown in Figure 15, provides more detailed descriptive information on the selected program. It will be apparent to one of ordinary skill in the art that additional information may be provided for the programming illustrated by example in Figures 14 and 15. In one embodiment, an overlay window or display area 1510 is used to present the additional programming information to the user. Upon the appropriate command option selection, the information window 1510 will be removed and the user allowed to identify other programming selection options.

Referring now to Figure 16, a menu 1600 similar to the menu illustrated in Figure 12 is shown. As described and illustrated in connection with the example of menu 1200, the first display area 1210 and second display area 1215 operate as a ping-pong style menu. For example, a list of menu items is presented in first display area 1210. The user is prompted to select one of these menu items using corresponding function keys 310 in proximity to the first display area 1210. By highlighting one of the menu items in first display area 1210, a corresponding list of submenu items corresponding to the highlighted menu item is displayed in the second display area 1215. Once the selection of a menu item in the first display area 1210 is made using

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function keys 310 and the corresponding submenu items are displayed in second display area 1215, the present invention allows the selection of one of the submenu items in second display area 1215 using a second set of function keys 311 in proximity to the second display area 1215. As shown in the example illustrated in Figure 16, the user has first selected menu item 1225 in first display area 1210 using function key 1605. As a result, submenu items are displayed in the second display area 1215. The user may now select one of these submenu items using function keys 311. In the example of Figure 16, the user has selected the "Entertainment" submenu item 1610 using function key 1615. The resulting display is illustrated in Figure 17.

Referring now to Figure 17, the menu items in first display area 1210 have been replaced with new submenu items corresponding to the selection 1610 made in second display area 1215. Each of the submenu items now displayed within first display area 1210 corresponds to options related to selected menu item 1610 from second display area 1215. At this point, the user may select one of the submenu items within first display area 1210 using one of the function keys 310. In the example shown in Figure 17, the user activates the function key 1705 associated with the first menu item displayed in first display area 1210. As a result, the menu illustrated in Figure 18 is displayed.

Referring now to Figure 18, a menu is displayed which corresponds to the activation of function key 1705 illustrated in Figure 17. Because in the example illustrated in Figures 17 and 18, the first submenu item 1810 in first display area 1210 has been selected, the corresponding submenu is displayed in second display area 1215 as shown in Figure 18. Again, the user may select one of the submenu items in second display area 1215 by activating the corresponding function key within the set of function keys 311. In the example illustrated in Figure 18, the user activates function key 1805. As a result, the menu illustrated in Figure 19 is displayed. As shown in Figure 19, the menu items displayed in first display area 1210 have been replaced with

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submenu items corresponding to the menu item 1910 selected from the second display area 1215. The user may then use one of the function keys 310 to select one of the menu items under first display area 1210. In the example illustrated in Figure 19, the user selects function key 1905 to select the fifth menu item displayed in first display area 1210. As a result, the display illustrated in Figure 20 is displayed. As shown in Figure 20, the selection of menu item 2010 from first display area 1210 causes the resulting display of corresponding submenu items within second display area 1215. The user may then use one of the function keys 311 to select one of the submenu items displayed in second display area 1215. In the example illustrated in Figure 20, the user activates function key 2005, which results in the display shown in Figure 21. As a result of activation of the function key corresponding to the selection of an hour, a message is displayed to the user prompting the user to enter the time and confirm the time entry as shown in Figure 21. The user may then enter the time using number keys or alternatively using a special numeric softkey menu. The user then confirms the time entry using function keys available on remote device 200.

As shown by the menu samples illustrated in Figures 16 through 21, the user is able to navigate through a hierarchy of menus and submenus by bouncing back and forth between a first display area and a second display area using a first set of function keys 310 and a second set of functions keys 311. This ping-pong menu concept of the present invention provides an efficient and fast way to navigate through a set of menu items. With each activation of a function key as illustrated in Figures 16 through 21, information identifying the activated function is sequentially illustrated in status area 1220. The information in status area 1220 assists the user in orienting himself/herself during a sequence of function key activations.

Referring now to Figure 22, a menu illustration example shows the result of the entry of a time prompted in the example shown in Figure 21. In this example, the user responded to the

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prompt for the entry of a time by entering the hour "17:20". In response to this, the display shown in Figure 22 is presented for the user. Because the user entered a time corresponding to "17:20", programming available from the cable provider 110 at the specified hour is illustrated line by line on the display device 240 of remote device 200. Note that the information displayed in status area 1220 has been updated to indicate the user's entry of the specific time. The present invention also includes a "Mark" function that allows the user to mark particular programs and to be reminded of the imminent broadcast of a marked program or programs. This feature is described in more detail below in connection with Figures 44-46.

Referring now to Figures 23 and 24, the examples illustrate the operation of the present invention for selecting a particular programming for recording using a video cassette recorder (VCR) or other recording means. Referring to Figure 23, the display presented for the user includes a listing of television programs, channels upon which those television programs are available, and times when those television programs will be aired. In the example shown in Figure 23, the user has manipulated the available function keys on remote device 200 to select a particular television program 2305. Having selected the specific program 2305 as shown in Figure 23, the remote device 200 may obtain the appropriate control information from internal tables to program a VCR to record the specified program. Additionally, an informational message is displayed for a user such as the message illustrated in Figure 24.

Referring now to Figures 25 through 29, an example illustrates the operation of the present invention for selecting the display of programming to be shown on a particular channel during a particular timeframe. In the example shown in Figure 25, the user selects menu item 2510 using function key 2505. As a result, the program station list 2610 as illustrated in Figure 26 is displayed. The user may then select, using cursor keys (up/down/left/right) on the remote device 200, a particular channel of interest such as channel 2615 as shown in Figure 26. As a

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result of this selection, the channel list 2610 is removed and the display illustrated in Figure 27 is presented for the user. In this case, the user has selected the station function and the particular station of interest. In this case, the user is prompted to enter a particular time frame of interest. In the example shown in Figure 27, the user selects menu item 2710 using function key 2705. As a result, the information displayed in Figure 28 is presented for the user. Referring now to Figure 28, the user selects menu item 2810 using function key 2805 as shown in Figure 28. As a result, the information as illustrated in Figure 29 is illustrated for the user. According to this sequence of function key activations, the user has selected a particular station and a particular time frame corresponding to programming provided on that station. The list of information corresponding to this programming query is illustrated for the user as a list of programs available on that channel at the specified time frame.

Referring now to Figures 30 through 34, an example illustrates the operation of the present invention for selecting a particular program containing a specified characteristic. In this example, the present invention executes a query to determine a particular specified movie having a particular specified actor associated with that movie.

Referring now to Figure 30, the user activates the menu item 3010 using function key 3005. As a result, the menu illustrated in Figure 31 is displayed for the user. As shown in Figure 31, the user then selects menu item 3110 using function key 3105. As a result, the information displayed for the user is illustrated in Figure 32. In this case, the user is presented with an alphabet with which he/she can type in the keyword associated with the desired characteristic. Other ways of character input are conceivable/possible. In this example, the user can enter the name of the actor of interest in the window 3310 shown in the example of Fig. 33. Only a single character, or a few initial characters of the name may be needed to uniquely identify the selection or the name may be selected from the list in the additional selection window 3312 shown in the

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example of Fig. 33. The complete name is filled in automatically after a number of characters have been entered to uniquely identify the selection. Once this is complete, the information displayed is illustrated in Figure 33. In this case, a particular actor has been identified to the system using the alphabetic menu display. As a result of the entry of this keyword, the present invention searches for programming containing the keyword and corresponding characteristic. Having found matching programming, the information is displayed such as the information display illustrated in Figure 34. Again, note that the status area 1220 illustrates the sequence of key activations up to the present point.

Figures 35 through 37 illustrate the operation of the present invention for entering a code number associated with a particular television program. In Figure 35, a user selects menu item 3511 using function key 3505. The result of this user action is illustrated in Figure 36. In Figure 36, a message is displayed to the user to prompt the user to enter the appropriate code number. In one embodiment, such code numbers include a two-digit channel field, a one-digit day of the week field, and a four-digit time field comprising two digits per hour and two digits per minute. In response to this prompt message, the user enters the desired code number using function keys available on remote device 200. The result of this user action is illustrated in Figure 37. In Figure 37, the particular television program associated with the user-entered code number is displayed on the display device 240.

Referring now to Figures 38 through 43, a set of menu displays illustrate the operation of the present invention for saving an often-used course of selection or for storing personal preferences. As an initial step to invoke this functionality, the user selects menu item 3810 using function key 3805. The result of this user action is illustrated in Figure 39. The save function allows a user to record a sequence of user function key activations and associate a name with the particular sequence of actions. Once a user has performed the selection process, such as the

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selection of a particular personal preference, one single time and named the sequence of selections, e.g., MUSIC, the user may simply press "Recall" and "MUSIC" to receive a list of programs that meet these particular qualifiers according to the preselected user preferences. As described below, the user can delete a personal preference not needed any longer.

In Figure 39, the user is prompted to begin this sequence of user activations. In the example of Figure 39, the user begins by activating menu item 3910 using function key 3905. The result of this action is illustrated in Figure 40. As a result of the user activation of menu item 3910, the menu items on the opposite side of the display (i.e. first display area 1210) are replaced with submenu items corresponding to the user selection of menu item 3910. The user may now use one of the function keys 310 to activate a menu item in display area 1210. In the example of Figure 40, the user selects menu item 4010 using function key 4005. The result of this user action is illustrated in Figure 41. In Figure 41, it can be seen that the menu items in the display area on the opposite side (i.e. second display area 1215) are replaced with submenu items corresponding to the selection of menu item 4010. Note that status area 1220 has been updated to identify the sequence of menu selections entered by the user so far. At this point, the user may select one of the menu options in display area 1215 using function keys 311. In the example of Figure 41, the user selects menu item 4110 using function key 4105. The result of this user action is illustrated in Figure 42. Having completed a sequence of user actions, the user is prompted by the message illustrated in Figure 42 for the entry of a name of the recorded sequence of user actions. The user may use the alphabetic characters and function keys to enter a user defined name for the sequence of user actions. The entered name is recorded and the programs associated with the sequence of user actions are displayed as illustrated in Figure 43.

Referring now to Figures 44 through 46, a set of menu displays illustrate the operation of the present invention for recalling a list of marked programs. By selecting the recall function and

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pressing the appropriate softkey (e.g., MUSIC) complex selection steps may be reduced to very few keystrokes. As illustrated in Figure 44, the menu item 4410 is selected using function key 4405. The result of this user action is illustrated in Figure 45. As illustrated in Figure 45, a set of user defined categories of previously marked programs is illustrated in second display area 1215. In the example of Figure 45, the user selects menu item 4510 using function key 4505. As a result, a list of marked programs is displayed as illustrated in Figure 46. A marked program may be selected and additional information requested or programmed into the VCR. Additionally, a delete softkey allows removal of the marked program from the list if it is not of interest anymore. Marked programs of the past may be automatically deleted.

Referring now to Figures 47 through 51, a set of menu displays illustrate the operation of the present invention for deleting the complete list of marked programs or personal programming selections. Referring to Figure 47, a user invokes this functionality by selecting menu item 4710 using function key 4705. The result of this user action is displayed in Figure 48. As illustrated in Figure 48, the menu items in second display area 1215 have been replaced with a list of marked programs or personal selections as a result of the selection of menu item 4710. In an alternative example, Figure 49 illustrates an alternative example of the menu display as a result of the activation of menu item 4710. As shown in Figure 49, the user selects the "delete" menu 4910 using function key 4905. As a result, a message is displayed for the user as shown in Figure 50. This message prompts the user to select the personal selection or marked program to delete. In the example of Figure 50, the user selects item 5010 for deletion using function key 5005. As a result of this user action, the user receives a message asking for confirmation of the deletion as illustrated in Figure 51. In response to this prompt for confirmation, the user activates a predefined function key such as an "OK" button to confirm the deletion. As a result of this action the marked program or personal selection is deleted.

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Referring now to Figures 52 and 53, other menu displays illustrate the operation of the present invention for controlling a television monitor and/or a video cassette recorder (VCR). In Figure 52, various menu items are displayed in first display area 1210 and second display area 1215. These menu items correspond to standard functions provided on conventional VCRs. Any of these functions can be selected using function keys 310 or 311 provided on remote device 200. As a result of the activation of one of these menu items, remote device 200 emits well-known infrared coded signals to invoke the selected function in the VCR. Similarly as illustrated in Figure 53, menu items corresponding to standard functions in a conventional television set are displayed in first display area 1210 and second display area 1215. These functions may also be selected using function keys 310 or 311. In similar fashion, the remote device 200 emits IR signals to the television receiver corresponding to the selected function. In this manner, remote device 200 can be used to control a standard VCR or television set.

Personal Video Viewer and Remote Control Device

In an alternative embodiment of the present invention, the remote device 200 is configured to receive video programming signals via the base unit 100. Video content represented by the video programming signals are displayable and viewable on the display device of remote device 200. In this embodiment, the hand-held remote device 200 has several functions, including the presentation of video content, the use as an electronic program guide (EPG) or the application as a remote control (RC) for devices in the customer's home. The remote device 200 can operate in at least two modes: a video viewing mode, and an EPG selection or control mode. The base unit 100 provides services for the hand-held remote device 200, such as for example, delivering audio and video signals in the video viewing mode or external data and information for the EPG mode. As described above, the base unit 100 also

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held remote device.

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services as a charging station for the batteries in the hand-held remote device 200. Communication between hand-held remote device 200 and base unit 100 is accomplished by radio frequency (RF) transmission/reception in one embodiment. It will be apparent to those of ordinary skill in the art that other conventional forms of wired or wireless communication between the hand-held remote device 200 and the base unit 100 can be equivalently implemented. Further, it will be understood that the video programming signals referred to herein include video and/or audio signals originated from a television or radio broadcast, a video and/or audio capture device such as a camera or microphone, or conventional recording devices for recording and retransmitting video and/or audio signals. In addition, the video and/or audio content need not come only from an attached television set or a built-in video tuner. Additional sources of video and/or audio content used with the present invention include, but are not limited to, standard video tape players {such as VCRs}, CD-ROM players, DVD or DIVX players, digital video, audio, or image data (e.g. *.mpg, *.avi, *.mp3, etc.) maintained on a computer permanent storage device, such as a hard disk or flash memory, video and/or audio data delivered via a network, or other conventional video and/or audio media including smart cards, memory modules (e.g. PCMCIA, Sony Memory Stick, etc.). The necessary playing and/or decoding hardware and/or software may reside in attached appliances and/or may be built into the base unit and/or the hand-held remote device of the present invention. Further, the video signal form/coding is not restricted to conventional standards like NTSC or PAL, or mono/stereo for audio. Other signal coding may be equivalently used, such as for example, MPEG-coded digital TV/audio signals. In this case, the MPEG decoder may reside in the base unit and/or in the hand-

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The following sections set forth a detailed implementation of the hand-held video viewer and remote control device of this embodiment of the present invention (denoted herein as the Personal TV system 5500).

The principal application of the Personal TV system 5500 is shown in Figure 55. The base unit 5512 is connected to the conventional television set 5510 via a conventional SCART cable. Alternatively, other well-known video connector/cable systems could alternatively be used instead of SCART. By connecting the base unit 5512 to the television set 5510 in this way, the base unit 5512 can capture the current video and audio signals of the TV set 5510 and transmit these video programming signals via radio frequency (RF) communication to the hand-held remote device 5514 for rendering as video content on the display device 240 and the speaker 250 of the hand-held remote device 5514. In one embodiment, the display device 240 is a liquid crystal device (LCD) screen and the audio output is passed to a conventional built-in speaker 250. Alternatives for the LCD screen include organic displays, plasma displays, or other suitable mobile display units. Additionally, the audio output may be passed to an earphone plugged into to an earphone jack on remote device 5514.

Referring to Figure 57, a block diagram illustrates the components of the hand-held remote device 5514 in this embodiment. In addition to display 5724 and speaker 5731, the hand-held remote device 5514 provides function keys 5732, which may be used to select a mode in which the hand-held remote device 5514 operates. The function keys 5732 are described in more detail above. Additionally, the function keys 5732 serve as navigation and function selection keys (denoted softkeys) in special modes. An IR (infrared) transmitter 5727 assists in controlling the TV set 5510 and other devices in the household using conventional IR function codes. An optional smart card reader 5734 can be used to identify the current remote device user when operating the system in applications requiring such functionality. For example, a smart card can

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be used in certain electronic commerce transactions in which the hand-held remote device is employed.

The RF communication (receiver 5712) and the batteries 5742 make the hand-held remote device 5514 mobile and independent. The hand-held remote device 5514 receives transmitted signals from the base unit 5512 at receiver 5712. Spread spectrum decoder 5714 and data slicer/clock control unit 5716 are used to split the input signal into a display (television video/processor generated image) portion, an audio portion, and a data portion. The display portion (optionally with or without a graphic overlay) is sent to image memory controller 5718 for storage in the double buffered image memory 5722 for display on the display component (i.e. display controller/driver 5720 and LCD display 5724) of the hand-held remote device 5514. The audio portion is sent to digital-to-analog converter and audio controller unit 5728 for output to speaker 5731. The data portion can either be sent to the smart card interface 5737 for control of or transfer to smart card reader 5734 or the data portion can be sent to the IR interface 5726 for transfer through the IR transceiver 5727/5729. Key controller 5730 receives key input from the user of the hand-held remote device 5514 via keys 5732 and transfers these key selections to the base unit 5512 via data multiplexer 5736, spread spectrum coder 5738, and RF transmitter 5740.

Within a radius comparable to that of conventional wireless telephones, the hand-held remote device 5514 may be used as a mobile TV set allowing a user to watch TV anywhere in the house or the garden. This feature of the present invention allows one to watch a television program in another room where no TV set is installed or just to be mobile until a special program is about to begin or restart. Another application of the present invention is controlling the content, which is currently being consumed at the standard TV set. For example, this feature gives parents the opportunity to check the programming content the children are looking at from anywhere in the house.

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Again, comparable to wireless telephones, the hand-held remote device 5514 is coupled to the base unit 5512 every time the remote device is not being used. This will allow charging of the batteries 5742, which power the hand-held remote device 5514. Having the hand-held remote device 5514 regularly engaged on the charging cradle of the base unit 5512 ensures that there will be enough power in the batteries 5742 for the next utilization. The base unit 5512 receives its power from the normal wall outlet via a standard power cord.

One important function of the Personal TV system 5500 of the present invention is to enable a user to watch a TV program on the LCD screen of the hand-held remote device 5514 (and listen to the sound through the built-in speakers 5731). This television program is the same program as the one on the standard TV set to which the base unit 5512 is connected. The video and audio signals travel to the base unit 5512 using a SCART (or other comparable) cable. In an embodiment for which the base unit 5512 does not itself have a video tuner 5616, the current programming for the LCD display on the hand-held remote device 5514 is selected locally on the TV set. The audio volume may be adjusted directly on the hand-held remote device 5514 using function keys. By use of an IR adapter 5652 connected to the base unit and positioned in front of the TV set, some parameters of the TV set may also be controlled by the hand-held remote device 5514. If an optional TV tuner 5616 is provided in the base unit 5512, the hand-held remote device 5514 may also display different programming than displayed on the standard TV set. The channel will be selected on the hand-held remote device 5514 via the tuner of the base unit 5512.

When the hand-held remote device 5514 displays the program of the TV set 5510 attached to the base control unit 5512, the function keys on the hand-held remote device 5514 may be used to control the TV set. The signal of the respective function key selected on the hand-held remote device 5514 by the user is decoded and sent to the base unit 5512. There, the microprocessor 5626 chooses the appropriate IR code for the selected function on the attached

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TV set 5510 and writes the IR code 5510, into the IR adapter 5652. By use of an attached IR transmitter 5654 placed in front of the TV set 5510, the IR code is sent to the conventional video IR receiver.

The remote control functions in this embodiment comprise the conventional television functionality, for example, channel up, channel down, direct channel number input, volume up, volume down, stand-by. Functions like mute, brightness, contrast, etc. act only locally on the display device 5724 of the hand-held remote device 5514. The same functionality is provided if the built-in tuner 5616 of the base unit 5512 is used. In this case, no IR transmission is needed.

A second important function of the Personal TV system 5500 of the present invention is the use of a remote control (RC) device for control of the customer's equipment, including conventional consumer appliances. The hand-held remote device 5514 may be adapted to control all conventional TV sets, VCRs and other devices by sending the appropriate well-known IR commands. A large conventional IR code base is provided in the memory of the base unit 5512. Special codes may also be downloaded to the base unit 5512. Optionally, the hand-held remote device 5514 may be provided with the feature of learning unknown IR codes (teach-in).

During setup, the user must identify his/her equipment and must register the type of equipment in the hand-held remote device 5514 during an installation process. Comfortable menus guide and direct the user to perform tests to control the devices thereby assisting the user in setting up the Personal TV system 5500 correctly.

In the RC mode, a menu is displayed on the LCD 5724. Next to the softkeys displayed on LCD 5724 on the left and right sides of the LCD display 5724, textual or iconized menu items present the main functions of the selected device. The mode screen may start with the menu for the first TV set 5510 or with the menu for the device last recently used (depending on setup). One of the keys pops up a selection menu of all registered equipment in the customer's household

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to allow for switching to the RC menu of another device. This RC mode controls the equipment via IR commands. Therefore, the hand-held remote device 5514 must be in the same room and aimed at the device being controlled. In another embodiment, the hand-held remote device 5514 includes an RF transceiver for communicating commands to appliances being controlled by the hand-held remote device 5514 in the RC mode. In this manner, the hand-held remote device 5514 does not have to be in the same room and aimed at the device being controlled. The RC mode is not to be confused with the RF remote control mode, which operates via RF all over the house, but only on the attached TV set 5510.

Another important feature of the Personal TV system 5500 of the present invention is called Personal Navigator, which enables use of a hand-held electronic program guide (EPG). This feature is described in detail above. The EPG is presented on the LCD 5724 and operates with the several softkeys displayed on the left and right sides of the display 5724. Using a special selection procedure called a "ping-pong" menu (described above), the user is guided through the selection process. The "ping-pong" menu starts with principal choices presented on the one side of the display 5724. Subsequent choices are displayed on the opposite side of the display 5724. Those menu items may include, but are not limited to,

- * program selection by time,
- * program selection by genre,
- * program selection by station,
- * program selection by keyword,
- * program selection by input of a code number,
- * program selection by recalling preprogrammed preferences and
- * entering of a programming process to define personal preferences.

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The user selects one of the options displayed using the hand-held remote device 5514. Then, on the opposite side of the display 5724, a submenu opens up presenting additional choices for that topic. So, the next choice after "time" could simply be "now" to show what is currently on the air. More complex selections continue by always presenting the next submenu on the opposite side of the display 5724 until the selection process is completed. At the end of the selection process, the EPG shows a selection list of programs, which fit the qualifiers the user has chosen. This selection list includes program information like title, station, time, short program description etc. This selection list may be scrolled using cursor keys. The highlighted (or inverted) items in the selection list may be marked by the user for later automatic reminder and additional, more in-depth information on how the show may be obtained. By pressing the "OK" key on the hand-held remote device 5514, the TV set 5510 connected to the base unit 5512 will be switched to the selected program. Other functions in EPG mode include VCR programming of an item from the selection list or selection of radio transmissions (optionally) and similar functions. Having chosen an interesting program from the selection list, one can easily program a VCR to record that selected program. Simply by pressing one key on the hand-held remote device 5514, the appropriate programming of the VCR is performed by sending the appropriate IR codes. Before final programming of the VCR, the hand-held remote device 5514 informs the user about the length of the show being recorded (for use of an appropriate length videotape) and asks the user for confirmation. If more than one type of VCR is registered in the Personal TV system 5500, the user is also asked which VCR he/she wants to program.

Optionally, the Personal TV system 5500 of the present invention may be equipped with other functional components. One such optional component is a decoder for decrypting encrypted TV transmissions in the base unit 5512. The decoder 5615 of one embodiment is

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shown in Figure 56. The decoder 5615 may be used for programs to be watched at the attached TV set 5510. In this case, the Personal TV system 5500 acts like a conventional decoder. Again, the decoded show could also be presented on the hand-held remote device 5514. If the optional tuner 5616 is installed in the base unit 5512, a decrypted transmission from that tuner 5616 may be watched on the hand-held remote device 5514 independently from the main TV set 5510. To grant permission to the base unit 5512 to decode a ciphered show, the hand-held remote device 5514 is equipped with a smart-card reader 5734. A card inserted into the reader 5734 may be used to identify and authenticate the user thereby enabling the base unit 5512 to decode the program. The smart card may enable viewing of pay-per-view programming or enable a service for a certain period of time. The smart card can also work as a prepaid card allowing a consumer to watch transmissions on a case-by-case basis.

If the Personal TV system 5500 is equipped with a modem 5618 or other network interface, additional features are possible. One could implement a small browser for accessing the Internet (www) and web page contents. The browser is installed as software in the hand-held remote device 5514 and/or software in the base unit 5512. Web pages obtained via the network interface 5618 are formatted for display on the hand-held remote device 5514. Internet access may enable the use of the Personal TV system 5500 for use in e-commerce (home-shopping, home-banking etc.). The security of the e-commerce transactions can be ensured using passwords or the smart-card reader 5734 to validate user identity and authorization. Separately or combined with Internet access, email functionality can also be realized in the Personal TV system 5500. Again, email software installed in the hand-held remote device 5514 and/or in the base unit 5512 enables user access to network resident email messages via the Personal TV system 5500.

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The hand-held remote device 5514 may also be used to implement a personal database for storing the data in the base unit 5512. A menu system controlled on the hand-held remote device 5514 serves as input and output of that personal database.

The Personal TV system 5500 can also act as a cordless telephone. To achieve that function, conventional cellular or satellite circuitry for wireless telephone communications is installed in the base unit 5512 and/or the hand-held remote device 5514.

To enable the Personal TV system 5500 to work as an EPG, the respective program guide data must be downloaded into the memory of the base unit 5512. Possible updates of the system software may also be downloaded into the memory of the base unit 5512 and/or the memory of the hand-held remote device 5514. Also, IR commands for controlling various types of appliances may be downloaded into the memory of the base unit 5512 and/or the memory of the hand-held remote device 5514. These data transfers and others need a data channel for transferring large amounts of data to the Personal TV system 5500.

One possible data channel is the transport of data packets traveling in the VBI (vertical blanking interval) of the standard TV signal. The data may be coded similarly to conventional videotext pages and invisible to normal videotext users. This technology is widespread and often used for transport of data in the context of TV systems. However, in some cases, it may be difficult to find VBI capacity for the data needed by the Personal TV system 5500. Nearly all of the available VBI bandwidth may be used for other special services. Further, assuming a data volume for the EPG of approximately 1 Mbyte, it will take about 20 minutes to deliver the data using a single VBI line. In addition, the use of VBI transport as a data channel makes the integrated tuner 5616 in the base unit 5512 a must. While a TV program may come from the TV set 5510 via the SCART interface 5614, the EPG data and other data may not. It may be impractical to have the TV set 5510 on and running on a special station for example at night to

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get a data update via the VBI. Additionally, hardware for the decoding of the videotext information and a FIFO interface to the microprocessor are necessary. As the VBI transfer is a broadcast transmission, one also needs a unique identification number 5624 in the Personal TV system 5500. This addressability allows direct transfer of requested IR codes and other personal messages addressed to a particular unit.

An alternative way to implement the data channel needed is the use of a modem 5618 connected to the telephone system and thereafter connected to a computer network, such as the Internet. The easiest way to install an infrastructure to broadcast the EPG data is the establishment of an Internet based server. A cooperation with an Internet service provider (ISP) will allow the Personal TV base unit 5512 to dial-in and to access the server for data download.

Assuming again a 1 Mbyte set of data and a fast modem 5618, the data transfer should take about four minutes for a complete update. As only the new data must be downloaded, the average connection time per day will be less than one minute, thereby giving reasonable cost figures for building the Personal TV system 5500.

The following section describes the structure of one embodiment of the base unit 5512 of the Personal TV system 5500. Figure 56 illustrates a block diagram of this embodiment of the base unit 5512.

Referring to Figure 56, the upper left corner shows the SCART interface 5614 to the TV set 5510. From there the video and audio signal of the current transmission is transferred to the input multiplexer 5630 of the signal processing path. If the base unit 5512 possesses no own tuner 5616 and/or Pay TV decoder 5615, the multiplexer 5613 will not be needed. The following ADC 5632 converts the analog signals into digital data streams, which are fed into the data multiplexer and overlay unit 5634. This unit selects the data to be sent to the hand-held remote

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device 5514. In TV mode, the multiplexer and overlay unit 5634 multiplexes video and audio packets. In the other modes, the multiplexer and overlay unit 5634, with assistance from the image memory and mode controller 5643, transfers data packets from the image memory 5644 for display in the hand-held remote device 5514. Optionally, it is possible to overlay the video signal with portions of the image memory 5644. This feature enables graphic overlay over the displayed video. In addition to these data packets, messages are multiplexed into the data stream. For example, messages are used for sending IR codes, signaling sounds and smart-card commands.

The final data stream flows into a spread spectrum coder 5636 and transmitter 5638 working in the 2.4 GHz range. The data stream is transmitted to the hand-held remote device 5514 as an RF signal. Typically, about 10-mW output power should be sufficient. Without a data compression mechanism and assuming that a net data rate of about 7.8 Mbits/s is needed, the result of this structure is a bandwidth of about 4 MHz times the spreading factor of the spread spectrum transmitter. This is a fairly large bandwidth for a simple video signal. Probably, bandwidth requirements may easily be reduced to half without visible degradation of the video image on the LCD display 5724. The larger bandwidth is only needed in TV mode. Possibly, one could abandon the spread spectrum transmission in TV mode, because transmission errors are less critical.

Because of the presence of image memory 5722 in the hand-held remote device 5514, a much lower data rate is sufficient for transfer of the graphic data. In view of the upcoming Bluetooth standard for transmission, this structure will deliver more than enough bandwidth for these functions.

The microprocessor 5626 builds up the data for display in the non-TV modes in the image memory 5644, which is mapped directly into the processor's 5626 memory space. The

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image memory controller 5642 manages the dual port access of the image memory 5644. The image memory controller 5642 also works as a general mode controller, which the microprocessor 5626 accesses to enable different modes of the system.

The receiving path of the base unit 5512 can be much simpler, because of the lower data rates required. If no (optional) microphone for cordless telephone function is supplied, low transmission rates will be enough if serving only the function keys, the smart-card reader and eventually the IR receiver (for teach-in) of the hand-held remote device 5514 device. The input data is received, descrambled and fed into a FIFO 5640 from where the microprocessor can read the input data.

The components described so far (except the SCART interface 5614) may be integrated into one application specific integrated circuit (ASIC) 5610 to a large extent. Additional functions to be integrated into that ASIC are the IR adapter 5652 and the videotext decoder 5640. The IR adapter 5652 is needed in the RF remote control mode. The microprocessor 5626 decodes the function keys pressed in the hand-held remote device 5514 and provides the necessary IR command code to the IR adapter 5652. That adapter 5652 generates the modulated IR pulses, which are transmitted by the IR light emitting diode (LED) 5654 in a separate housing. The TV set 5510 receives the IR signals and responds with the appropriate function.

The videotext decoder 5640 is an optional part. It serves as a data receiver when using the VBI data transport. Videotext decoder 5640 is controlled by the microprocessor 5626 and commanded to scan the input VBI data stream for a particular identifier. Upon encountering the identifier, the videotext decoder 5640 delivers the received data in a small FIFO where it is fetched by the processor 5626.

Outside of the ASIC 5610 in this embodiment, the microprocessor 5626 resides with its DRAM 5620 and Flash memory 5622. The processor 5626 can be a simple, conventional 16-bit

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type processor (e.g. x186) that is fast enough to build up the menu screens and to respond to queries to its stored data. Eventually, when enabling WWW access, the browser and/or the graphical user interface may require a conventional 32-bit processor. A conventional 32-bit processor also would ease the handling of the large memory. Otherwise (with only 1 Mbyte address range), memory banking would have to be implemented. Without the Internet function, DRAM 5620 of about 1 Mbyte and Flash memory 5622 of about 2 Mbyte would be sufficient. The size of the memory largely depends on the functionality supported by a particular system.

An identifying unique number for each base unit 5512 can be read out from an ID device 5624. Thus, unique addressability is enabled, for example, in videotext broadcast transmissions or in internet access.

The standard base unit 5512 is equipped with a power supply 5660 delivering the necessary voltages and the charging circuits for the batteries 5742 of the hand-held remote device 5514.

Many of the other parts of the Personal TV system 5500 are optional. Either the tuner 5616 or the modem 5618 should be installed, depending upon the data transport mechanism chosen. The tuner 5616 is a standard TV tuner/IF amplifier that delivers video and audio signals of the selected channel at the output. The modem 5618 type has to reflect the telephone connection of the user (analog, digital). The speed in analog mode is not critical. The cost per update will increase if a slow modem (14k – 28.8k bits/s) is used. When using WWW access, a 56K modem would be desirable so as not to degrade the system performance and response.

The decipher box 5615 decrypts ciphered video signals when this mode is enabled by the insertion of a smart card in reader 5734 and validation of the card via the processor 5626. The structure of the decipher block 5615 can vary depending upon the particular security system chosen.

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In another embodiment of the present invention, the hand-held remote device 5514 is enabled to operate with a plurality of base units 5512 and the base unit 5512 is enabled to control a plurality of hand-held remote device 5514. In this embodiment, the hand-held remote device 5514 includes a user interface displayed on the display 5724 that enables a user to log into the hand-held remote device 5514 and/or a base unit 5512 with a user identifier. In this manner, a hand-held remote device 5514 owned by a corresponding user could be used with someone else's base unit 5512 or vice versa. Further, because each hand-held remote device 5514 is coupled to a network for the receipt and transmission of external information, one hand-held remote device 5514 can be configured to communicate with a second hand-held remote device 5514 via the network. The user login identifiers and/or the base unit identifiers 5624 can be used to direct network messages in a point-to-point communication. Using this functionality provided by this embodiment of the present invention, two or more users of a hand-held remote device 5514 can interact in real-time from a remote location. Thus, interactive games, chat sessions, or remote collaboration between networked users is possible with the present invention. Because the handheld remote device 5514 is network connected, a set of user information can be obtained from a user and stored locally in the hand-held remote device 5514, in the base unit 5512, on a smart card or other portable media, or stored in a repository on a network server coupled to the network. This user information, associated with a user login identifier or a base unit identifier 5624, can represent a user profile for automatically configuring a user session on a hand-held remote device 5514 to a particular user's previously defined preferences. The user profile can thereby travel with the user as the user moves to different locations accessible to the network with the hand-held remote device 5514.

Thus, a control device and system for remotely viewing video programming and for monitoring and controlling electronic devices is disclosed. Although the present invention has

been described with reference to specific exemplary embodiments, it will be evident that various modifications and changes may be made to these embodiments without departing from the broader spirit and scope of the invention as set forth in the claims. Accordingly, the specification and drawings are to be regarded in an illustrative rather than a restrictive sense.

CLAIMS

What is claimed is:

1. A control device for monitoring and controlling an electronic device, comprising:

a base unit including a microcontroller and a data interface coupled to the microcontroller for sending and/or receiving external information, the base unit further including a video interface for receiving video programming signals; and

a hand-held remote device in communication with the base unit via a data link, the hand-held remote device further including a display component for displaying at least a portion of the external information received from the data interface, said display component also for rendering video content in said video programming signals received from the video interface.

- 2. The control device as claimed in Claim 1 wherein the data interface further includes a modem for sending and/or receiving said external information via a network.
- 3. The control device as claimed in Claim 1 wherein the data interface further includes a set-top box interface for sending and/or receiving external information via a set-top box.
- 4. The control device as claimed in Claim 1 wherein the data interface further includes a computer interface for sending and/or receiving external information via a computer.
- 5. The control device as claimed in Claim 1 wherein the external information includes electronic program guide information.
- 6. The control device as claimed in Claim 1 wherein the external information includes electronic commerce information.

- 7. The control device as claimed in Claim 1 wherein the external information includes information obtained from the Internet.
- 8. The control device as claimed in Claim 1 wherein the external information includes electronic mail information.
- 9. The control device as claimed in Claim 1 wherein the external information includes control code information.
- 10. The control device as claimed in Claim 1 wherein the external information includes software or firmware upgrades for the base unit or the hand-held remote device.
- 11. The control device as claimed in Claim 1 further including a non-volatile memory device coupled to the microcontroller for storing a unique identifier.
- 12. The control device as claimed in Claim 1 wherein the hand-held remote device further includes a smart card interface for receiving additional external information.
- 13. The control device as claimed in Claim 1 wherein the hand-held remote device further includes a smart card interface for validating user identity.
- 14. The control device as claimed in Claim 1 wherein the hand-held remote device further includes a smart card interface for enabling execution of applications.

- 15. The control device as claimed in Claim 1 wherein the hand-held remote device further includes a smart card interface for receiving a smart card having resident thereon a software application.
- 16. The control device as claimed in Claim 1 wherein the functionality of the base unit and the functionality of the hand-held remote device are integrated together into the same unit.
- 17. The control device as claimed in Claim 1 wherein the functionality of the base unit is integrated into a television set.
- 18. The control device as claimed in Claim 1 wherein the functionality of the base unit is integrated into a set-top box.
- 19. The control device as claimed in Claim 1 wherein the functionality of the base unit is integrated into a computer.
- 20. The control device as claimed in Claim 1 wherein the hand-held remote device further includes functionality for use as a wireless telephone.
- 21. The control device as claimed in Claim 1 wherein the base unit further includes a video tuner for extracting a channel for viewing on said display component of the hand-held remote device from among a plurality of input video programming signals.
- 22. The control device as claimed in Claim 1 further including an overlay unit for combining said video content with microcontroller-generated images.

- 23. The control device as claimed in Claim 1 wherein hand-held remote device further includes a selection component for selecting a program by program time from a selection list.
- 24. The control device as claimed in Claim 1 wherein hand-held remote device further includes a selection component for selecting a program by program type from a selection list.
- 25. The control device as claimed in Claim 1 wherein hand-held remote device further includes a selection component for selecting a program by broadcast station from a selection list.
- 26. The control device as claimed in Claim 1 wherein hand-held remote device further includes a selection component for selecting a program by program keyword from a selection list.
- 27. The control device as claimed in Claim 1 wherein hand-held remote device further includes a selection component for selecting and recalling personal preferences from a selection list.
- 28. The control device as claimed in Claim 1 wherein hand-held remote device further includes a selection component for selecting and recalling personal preferences using a code input.
- 29. The control device as claimed in Claim 1 wherein hand-held remote device further includes a selection component for activating a function for displaying detailed information about a particular program.
- 30. The control device as claimed in Claim 1 wherein hand-held remote device further includes a selection component for activating a marking function for marking at least one program for application of further specified functions.

- 31. The control device as claimed in Claim 1 wherein the display component includes an information display for displaying a history of user selections previously made.
- 32. The control device as claimed in Claim 1 wherein hand-held remote device further includes a selection component for automatically rearranging menu selections of the selection list based on a history of user selections previously made.
- 33. The control device as claimed in Claim 1 wherein the data link includes an infrared link.
- 34. The control device as claimed in Claim 1 wherein the data link includes an RF link.
- 35. The control device as claimed in Claim 1 wherein the data link includes a direct electrical link.
- 36. The control device as claimed in Claim 1 wherein hand-held remote device further includes control information for remotely controlling an electronic appliance.
- 37. The control device as claimed in Claim 1 wherein hand-held remote device further includes: a display component for displaying a dual partition selection list, the dual partition selection

list having a first selection list and a second selection list, at least a portion of the first selection

list and at least a portion of the second selection list being at least partly concurrently displayable

on the display component; and

a selection component for selecting elements from the dual partition selection list, the selection component having a first selection component for selecting elements from the first selection list, a selection made from the first selection list using the first selection component causing a display of corresponding items in the second selection list while the first selection list

- is at least partly concurrently displayed, a selection made from the second selection list using the second selection component causing a display of corresponding items in the first selection list while the second selection list is at least partly concurrently displayed.
 - 38. A hand-held remote device comprising:
 - a video interface for receiving encrypted video programming signals;
 - a decoder for decrypting said encrypted video programming signals; and
 - a display component for rendering video content corresponding to said decrypted video programming signals received from the video interface.
 - 39. The control device as claimed in Claim 38 wherein the display component renders the video content on an attached television set.
 - 40. The control device as claimed in Claim 1 wherein base unit further includes a charging unit for charging the hand-held remote device.
 - 41. The control device as claimed in Claim 1 wherein the hand-held remote device further includes an LCD display screen, a speaker, and an earphone jack.
 - 42. The control device as claimed in Claim 1 wherein the hand-held remote device further includes a control unit for learning unknown control codes.
 - 43. The control device as claimed in Claim 1 wherein the hand-held remote device further includes a personal database.

03393.P002X *PATENT*

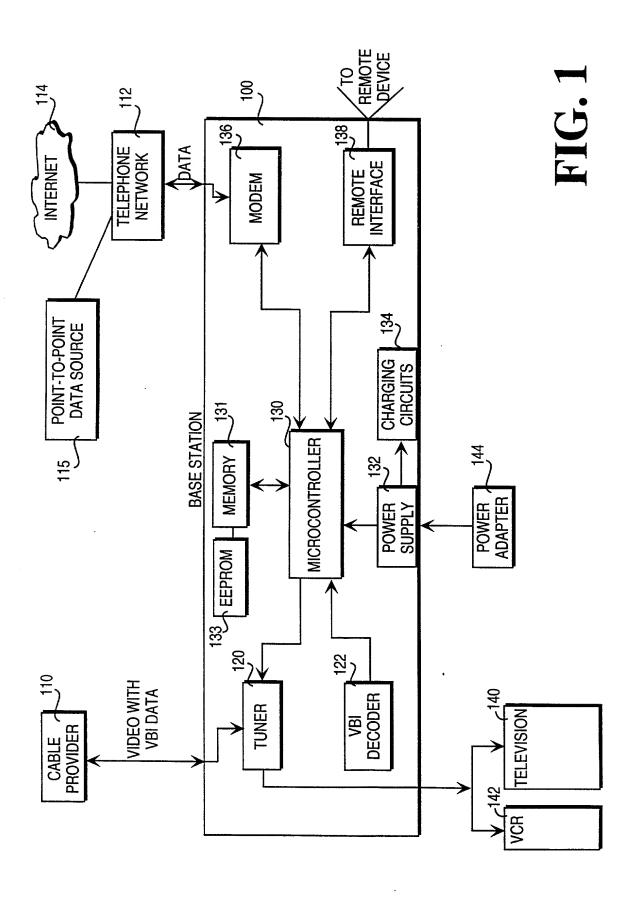
44. The control device as claimed in Claim 1 wherein the hand-held remote device further includes an embedded browser.

- 45. The control device as claimed in Claim 1 wherein the base unit further includes a personal database.
- 46. The control device as claimed in Claim 1 wherein the base unit further includes an embedded browser.
- 47. The control device as claimed in Claim 46 wherein the embedded browser processes at least a portion of the external information.
- 48. The control device as claimed in Claim 1 wherein the data interface further includes a VBI decoder for receiving said external information via a VBI encoded signal.
- 49. The control device as claimed in Claim 1 wherein the hand-held remote device further includes an interface to a different user operating a second hand-held remote device.
- 50. The control device as claimed in Claim 1 further including storage for a user profile.

5

ABSTRACT

A control device and system for remotely viewing video programming and for monitoring and controlling electronic devices is disclosed. In one embodiment, a control device for monitoring and controlling an electronic device, includes: 1) a base unit having a microcontroller and a data interface coupled to the microcontroller for sending and/or receiving external information, the base unit further including a video interface for receiving video programming signals; and 2) a hand-held remote device in communication with the base unit via a data link, the hand-held remote device further including a display component for displaying at least a portion of the external information received from the data interface, the display component also for rendering video content in the video programming signals received from the video interface.



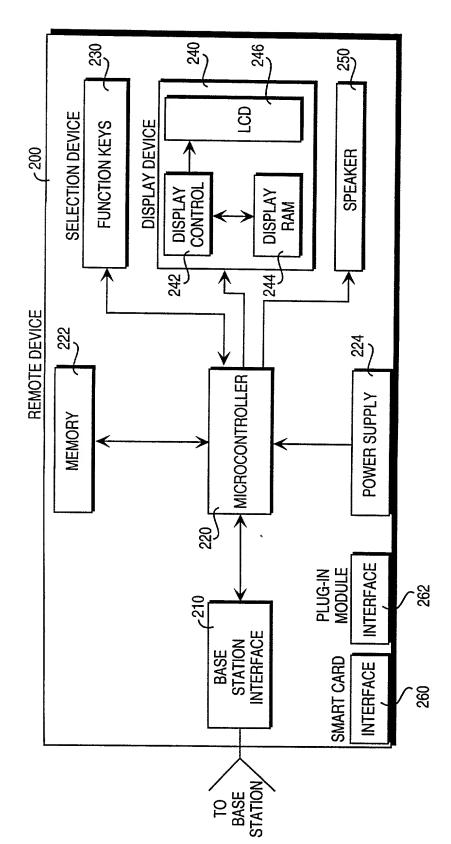


FIG. 2

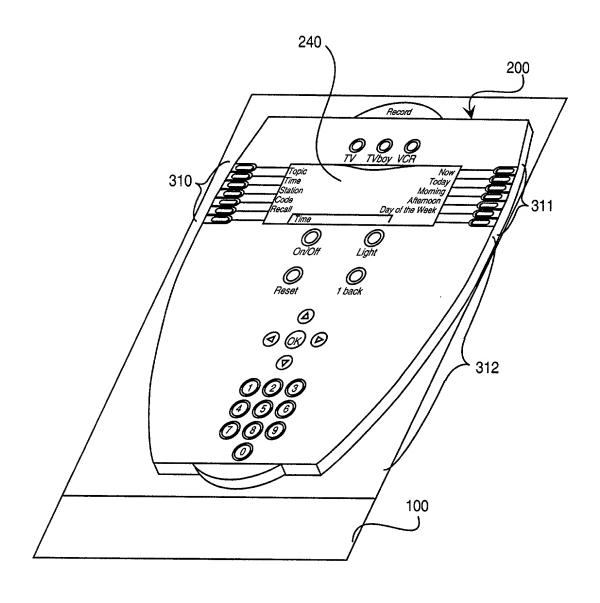


FIG. 3A

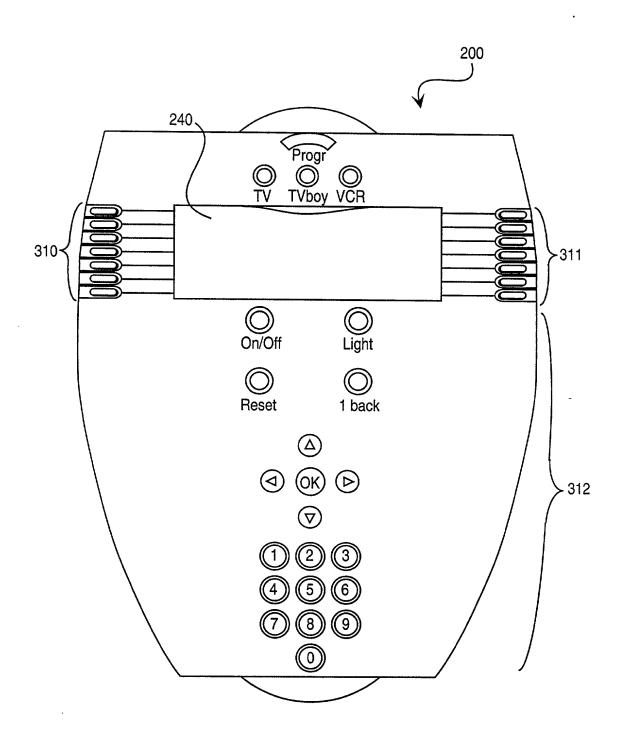


FIG. 3B

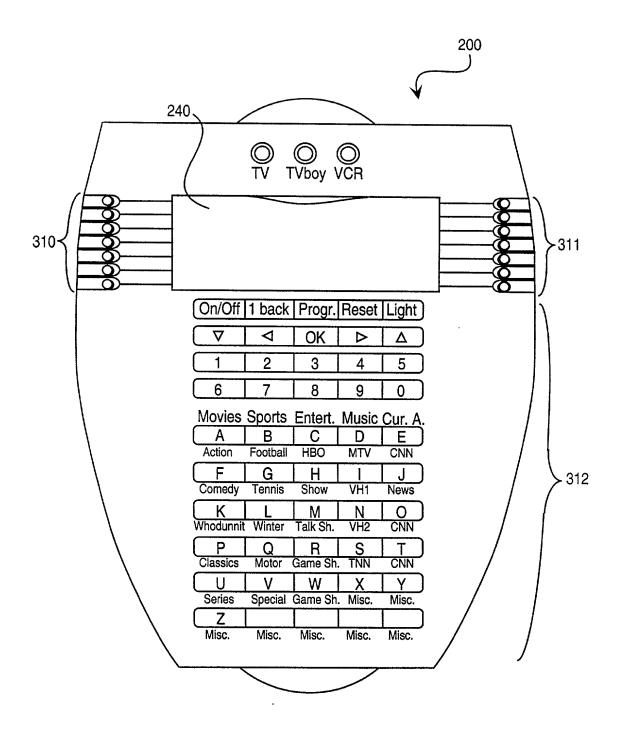


FIG. 3C

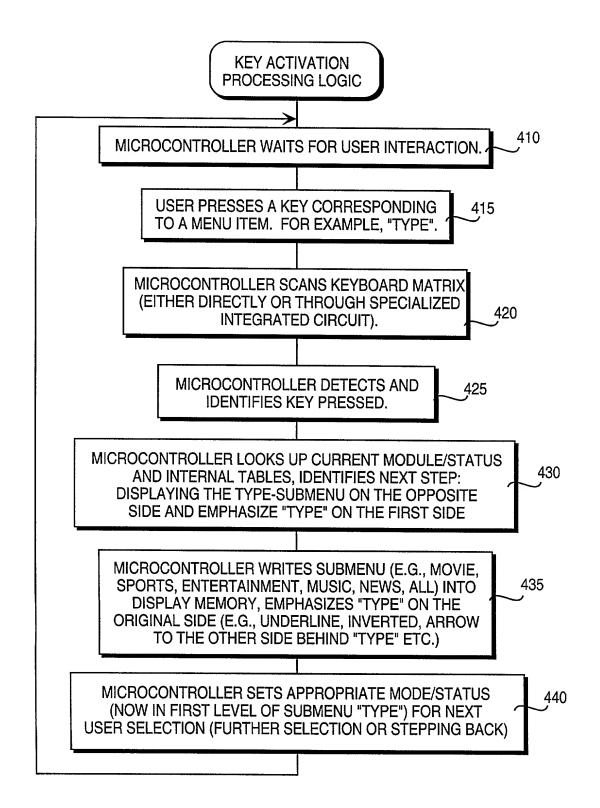


FIG. 4

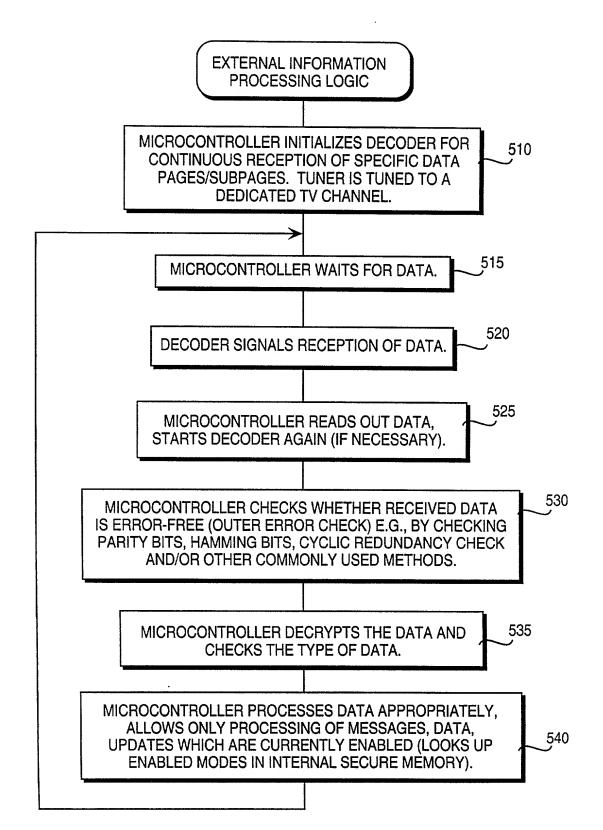


FIG. 5

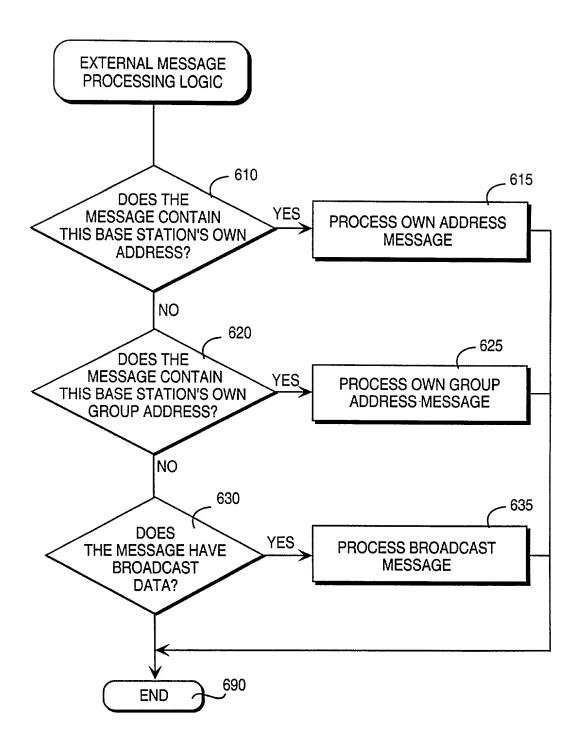


FIG. 6

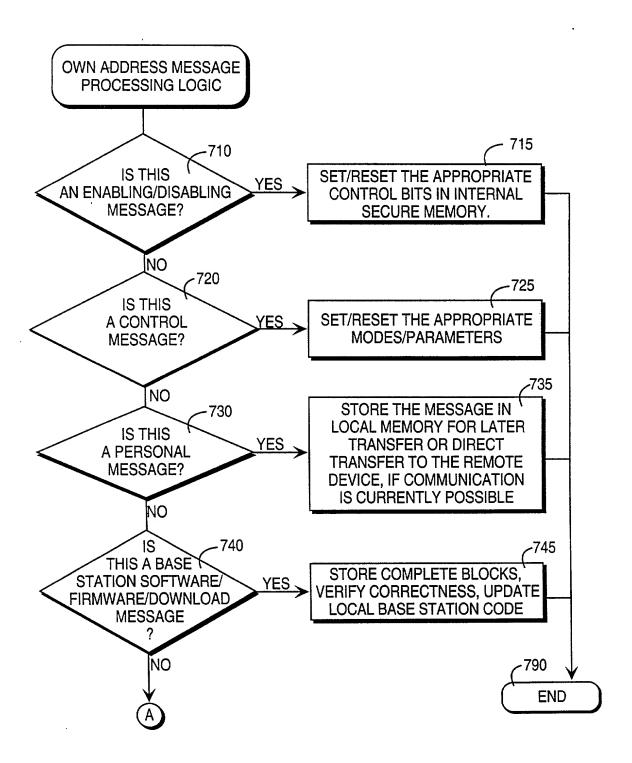


FIG. 7

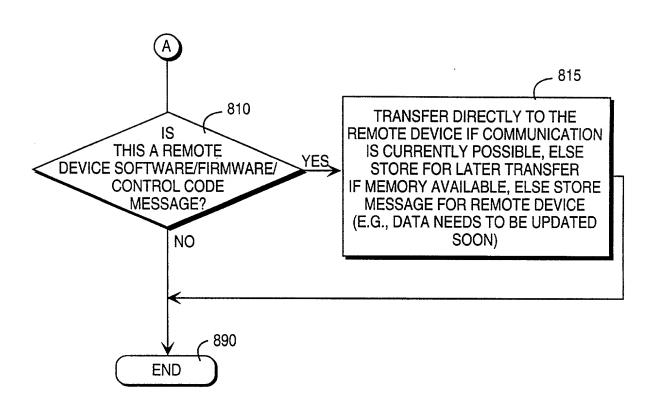


FIG. 8

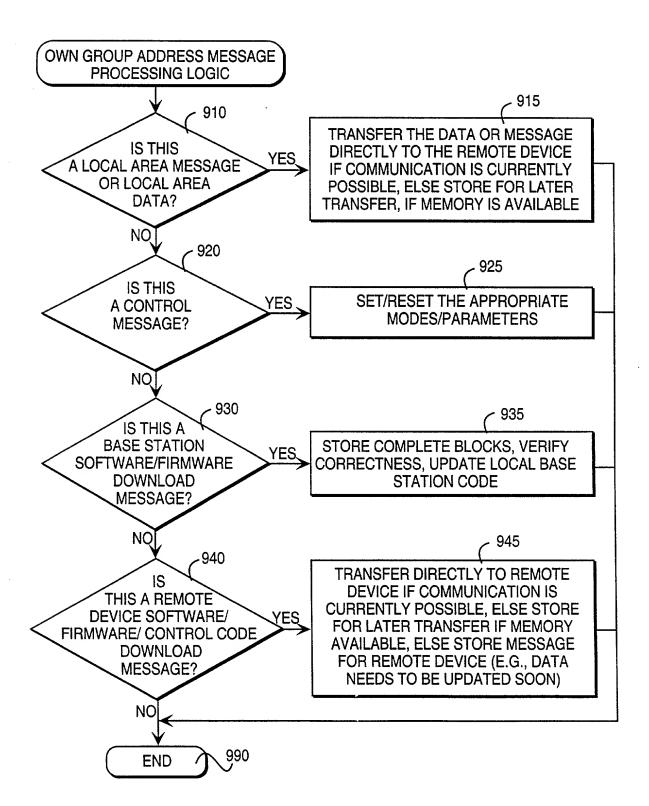


FIG. 9

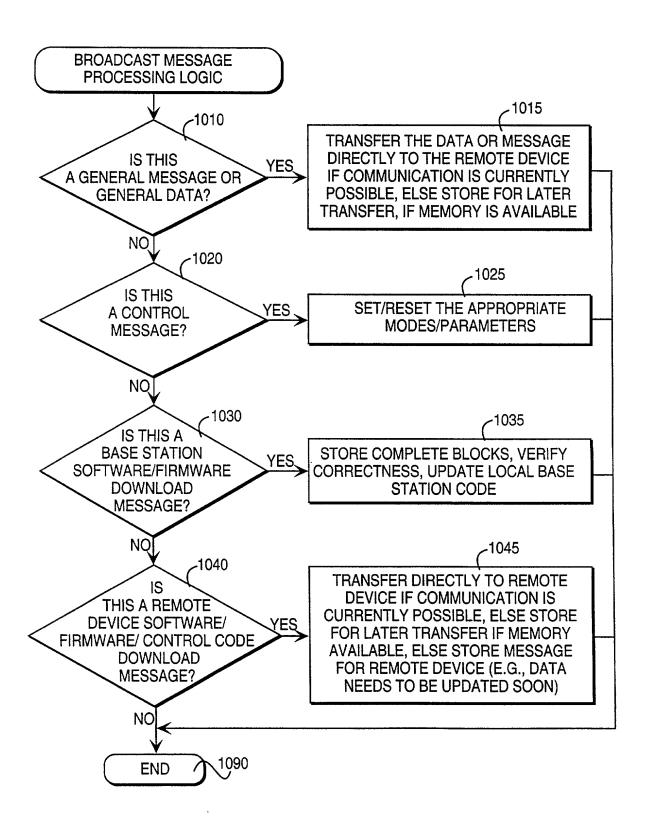


FIG. 10

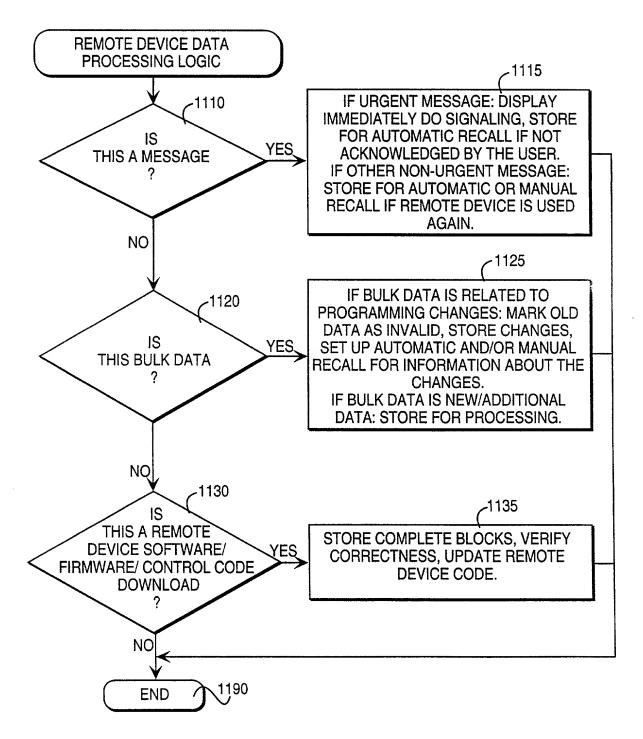


FIG. 11

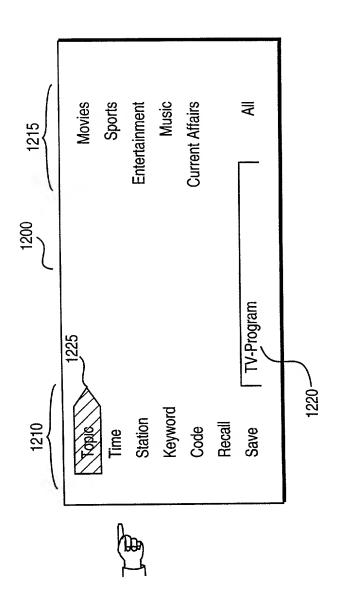


FIG. 12

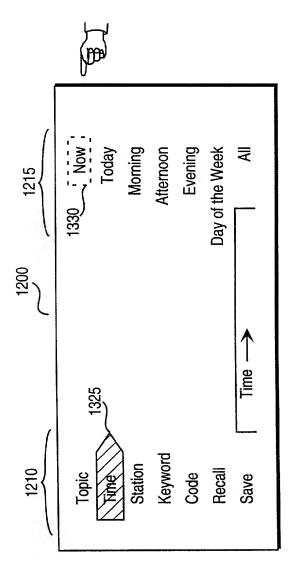


FIG. 13

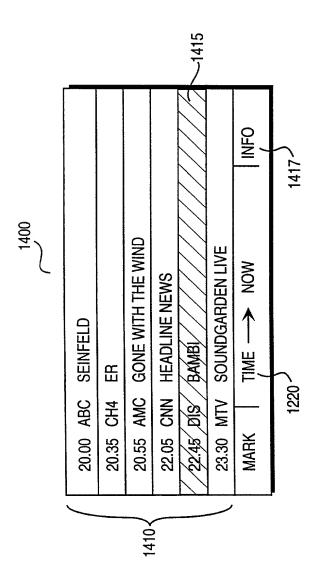


FIG. 14

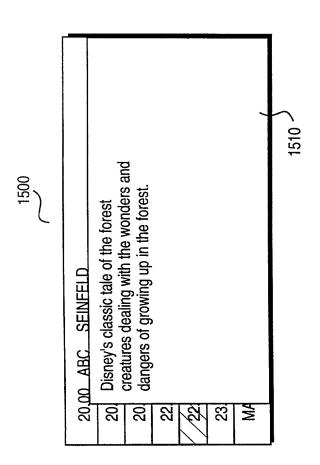


FIG. 15

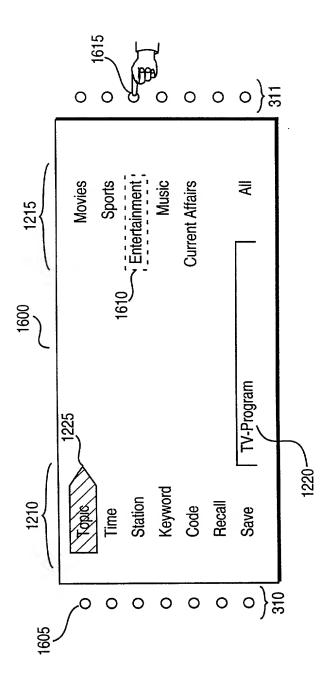


FIG. 16

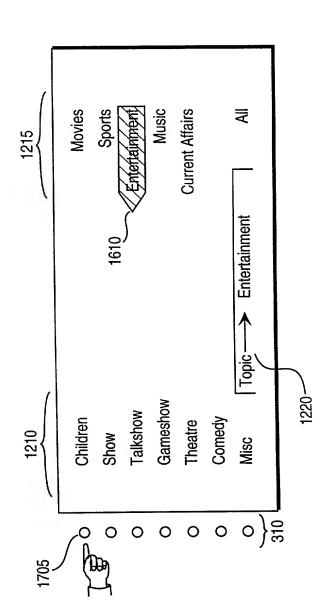


FIG. 17

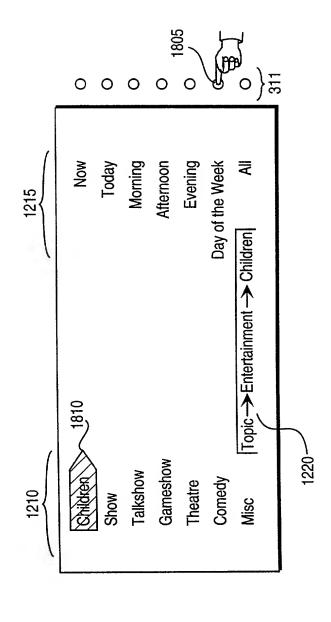


FIG. 18

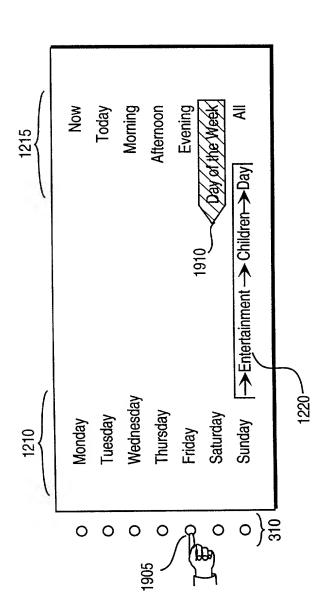


FIG. 19

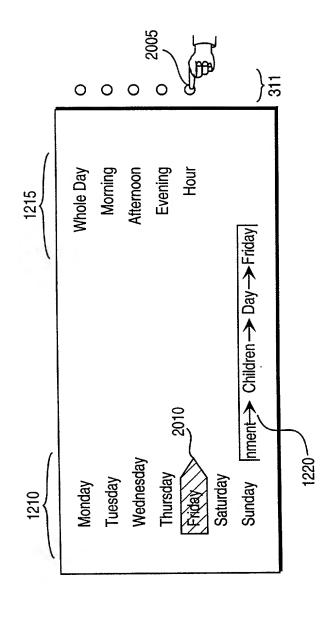


FIG. 20

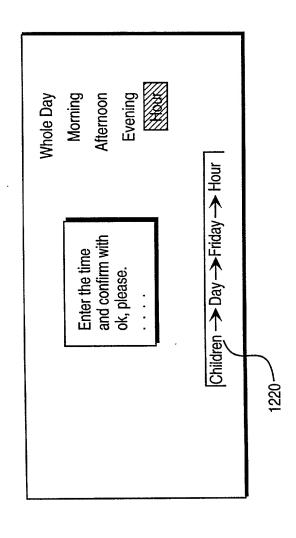


FIG. 21

1720 ABC SEINFELD	1720 CH4 ER	1720 AMC GONE WITH THE WIND	720 CNN HEADLINE NEWS	DIS BAMBI	1720 MTV SOUNDGARDEN LIVE	Children→Day→Friday→ 1720H INFO (1220
AB (유	AN (ර		Z	¥	
1720	1720	1720	1720	1720	1720	MARK	

		WIND	S		ILIVE	/ening INFO
20.00 ABC SEINFELD	ER	20.55 AMC GONE WITH THE WIND	22.05 CNN HEADLINE NEWS	BAMBI	23.30 MTV SOUNDGARDEN LIVE	→ Misc. → Evening
ABC	CH4	AMC	CNN	SIQ	ΣĬ	
20.00	20.35 CH4	20.55	22.05	/ 22.45 DIS	23.30	MARK
				2305		

FIG. 23

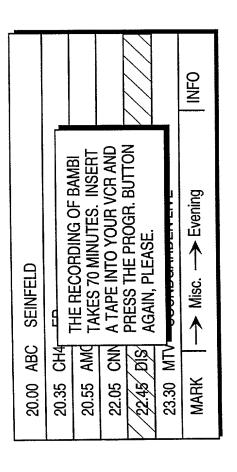


FIG. 24

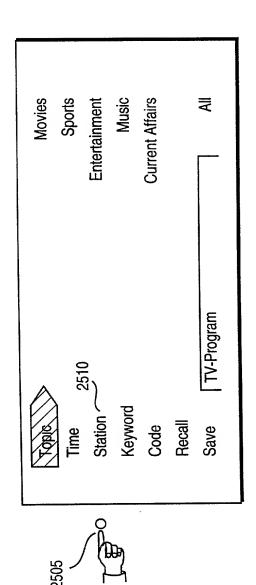
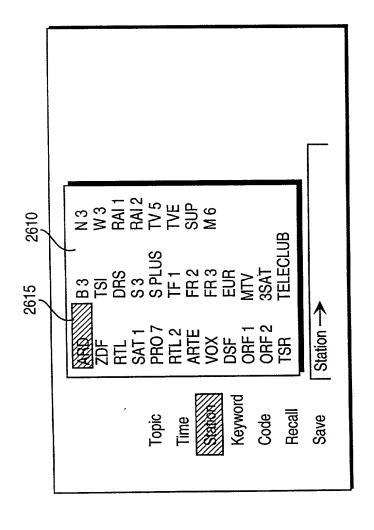


FIG. 25







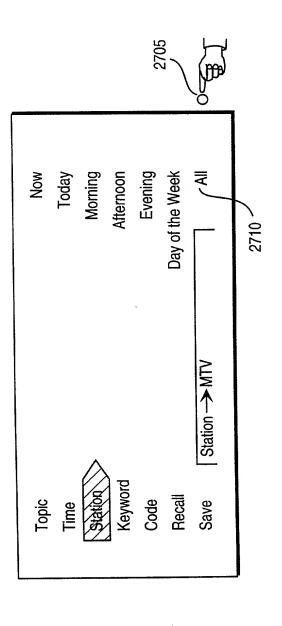


FIG. 27

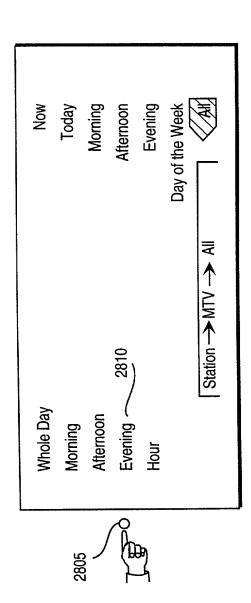


FIG. 28

20.00 MTV INTERVIEW WITH EDDIE VAN HALEN	VAN HALEN
20.30 MTV LATEST VIDEOS	
22.55 MTV PREVIEWS	
2x.00 MTV UZIN CONCERT	
23.00 MTV ROCK NEWS	
MARK Station→ MTV→ All → Evening	Evening INFO

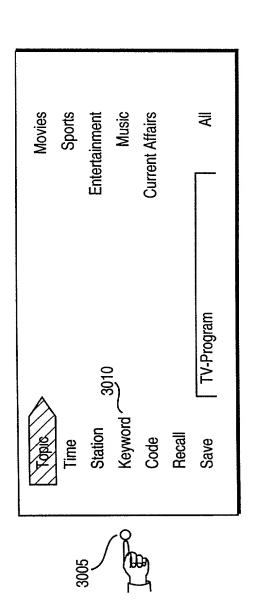


FIG. 30

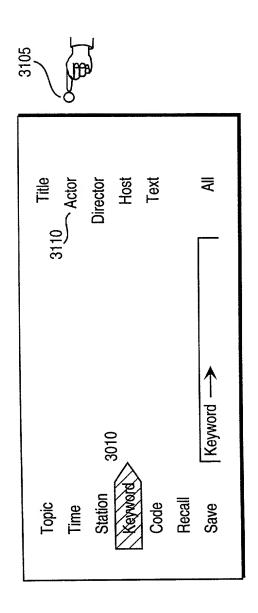


FIG. 31

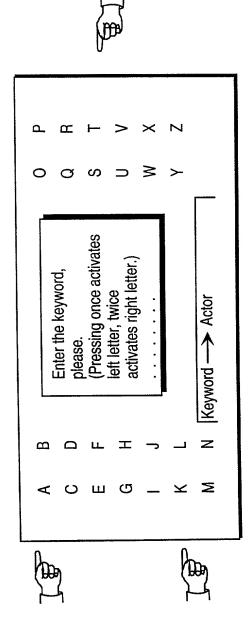


FIG. 3

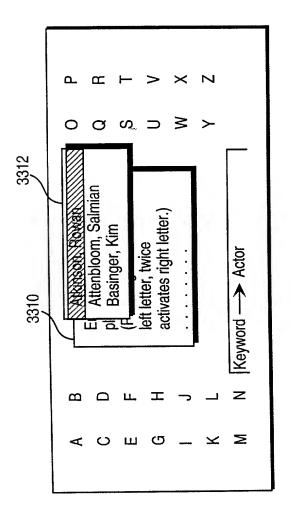


FIG. 33

20.00 ABC SEINFELD 20.35 CH4 ER 20.55 AMC GONE WITH THE WIND 22.05 CNN HEADLINE NEWS 22.05 CNN HEADLINE NEWS 22.05 MTV SOUNDGARDEN LIVE MARK Keyword→Actor→Atikinson, Rowar INFO

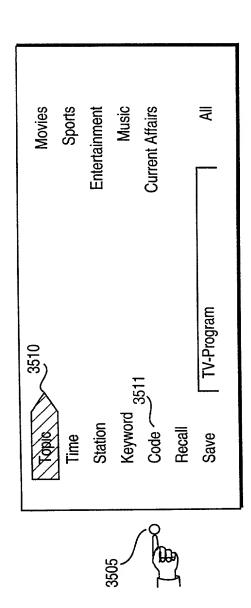
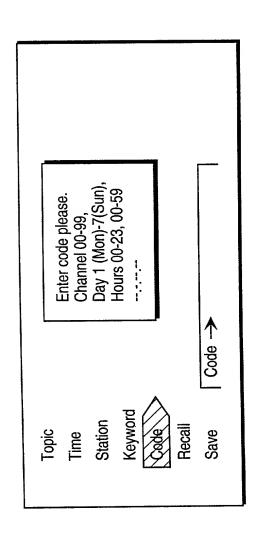


FIG. 35



	INFO
NEWS	Code → 07.5.22.10
22.10 NBC NEWS	MARK

FIG. 37

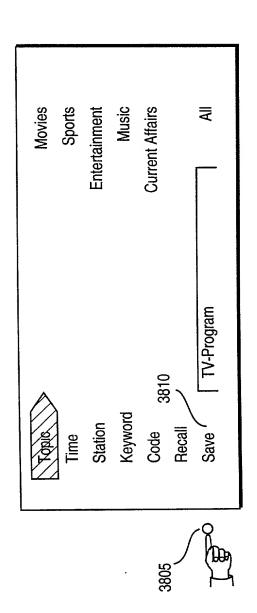
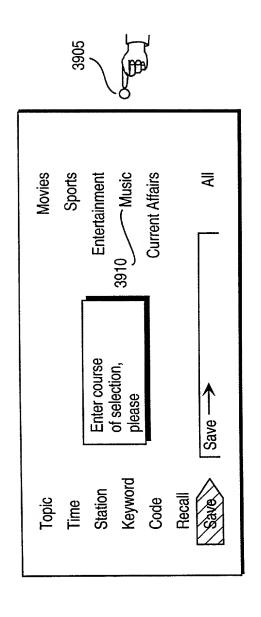


FIG. 38



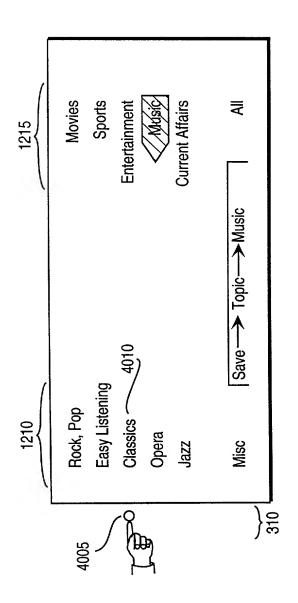


FIG. 40

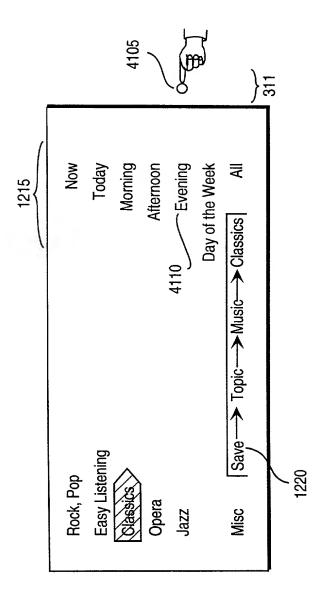


FIG. 41

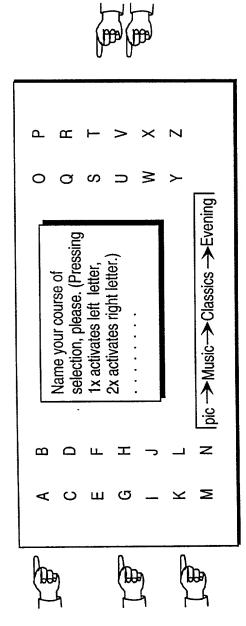


FIG. 42

20.00	ABC	20.00 ABC LIVE AT THE MET
20.35 CH4	봈	BOSTON POPS
20.55 /	4MC	20.55 AMC AMADEUS
22.05 NBC	SE BC	CLASSICAL HOUR
22.45	15 15 15 15 15 15 15 15 15 15 15 15 15 1	22.45 CH11 NY PHILHABMONIC
23.30 (SH25	23.30 CH25 BEETHOVEN SYMPHONIES
MARK	Pic	pic → Music → Classics → Evening INFO

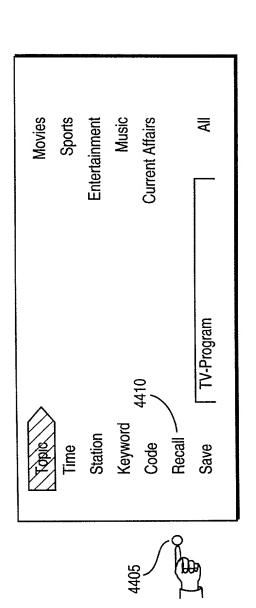


FIG. 44

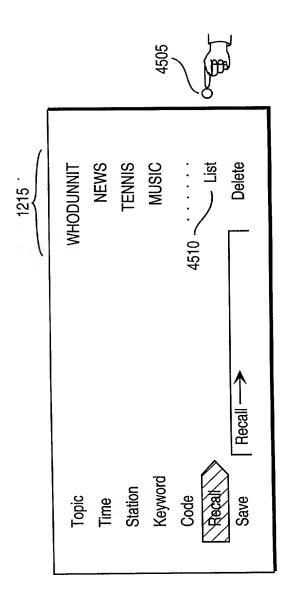


FIG. 45

May 15, 1998
20.35 CH4 ER
May 16, 1998
22.05 CNN HEADLINE NEWS
May 17, 1998
23,30 MTV SOUNDGARDENLINE
MARK Recall → List INFO

FIG. 46

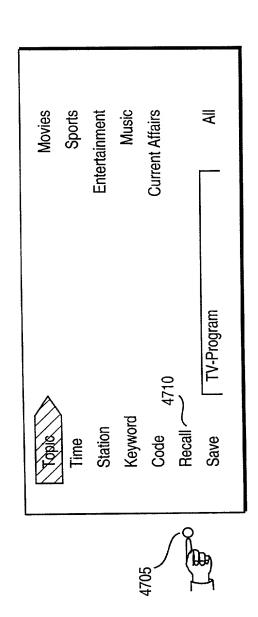


FIG. 47

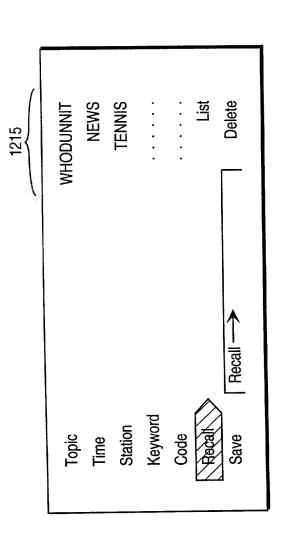


FIG. 48

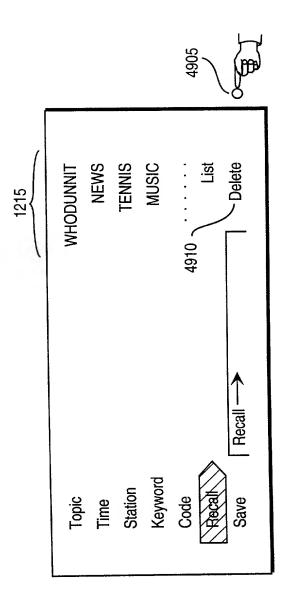


FIG. 49

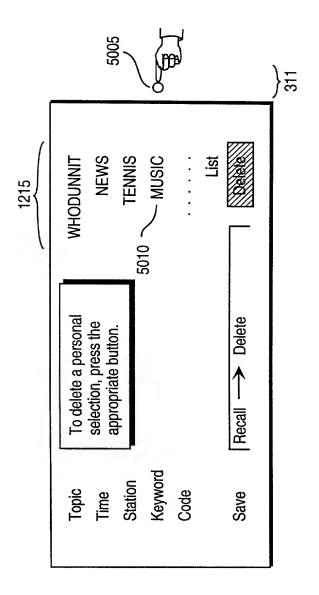


FIG. 50

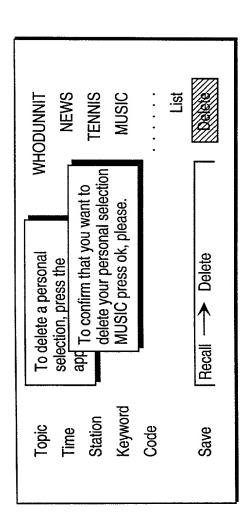


FIG. 51

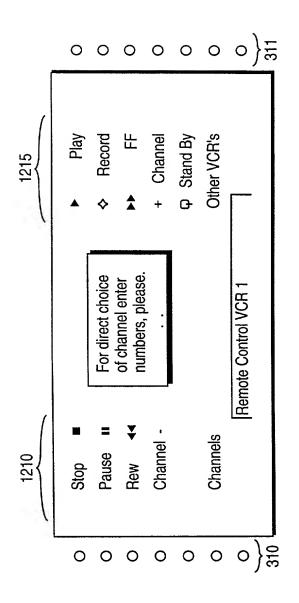


FIG. 52

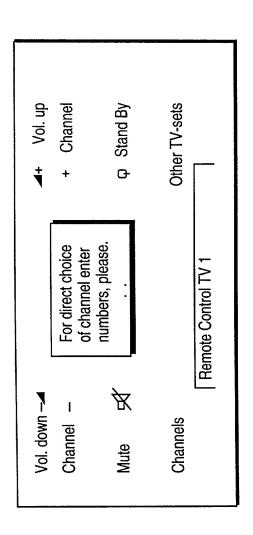
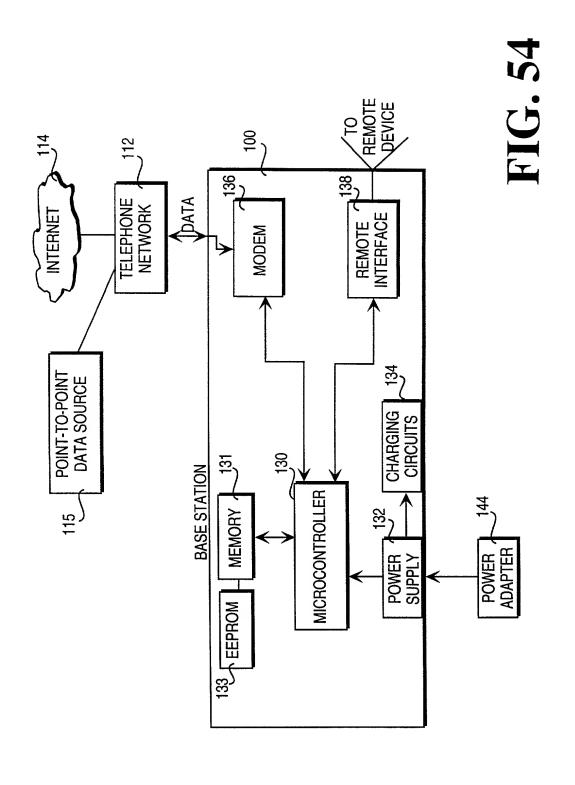


FIG. 53



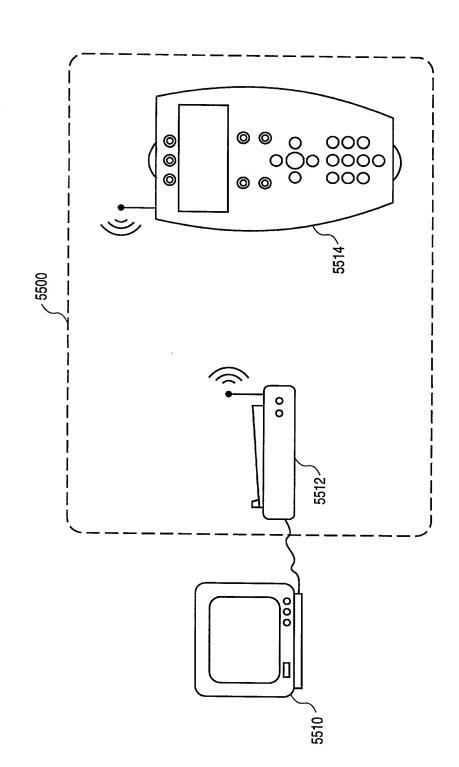


FIG. 55

5512

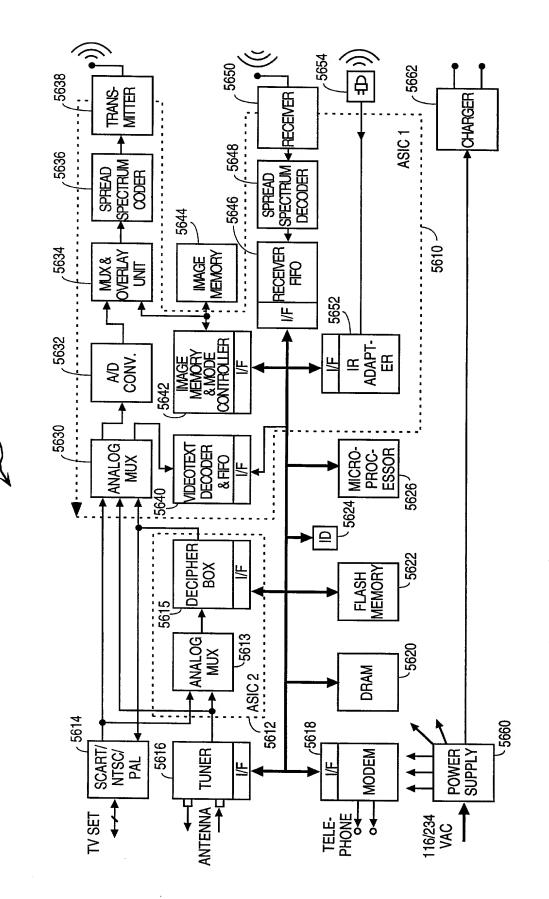
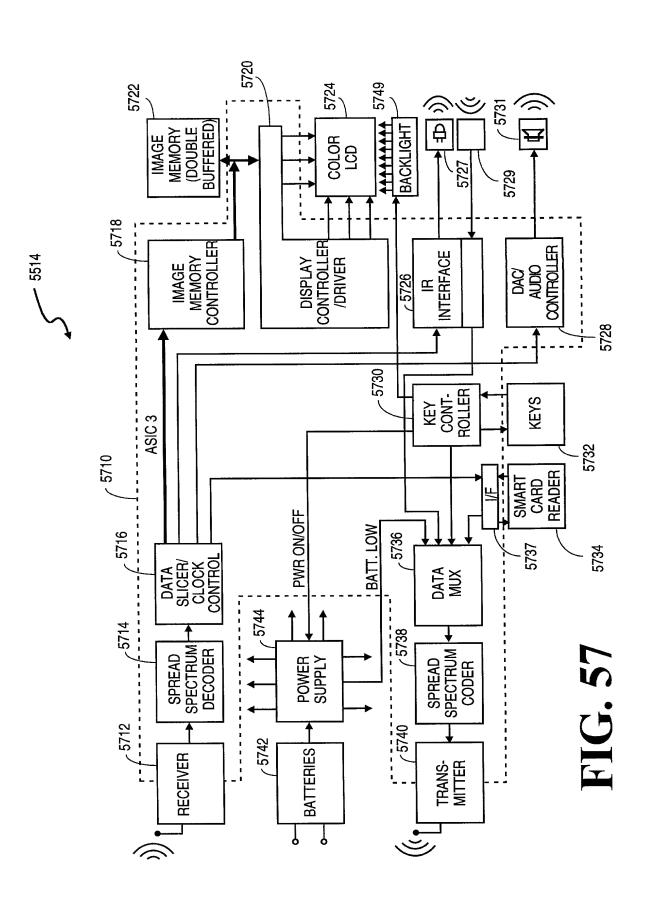


FIG. 56



DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION (CONTINUATION-IN-PART)

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below, next to my name.

I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

A HAND-HELD VIDEO VIEWER AND REMOTE CONTROL DEVICE

the specification of which				
or	on nited States Application	Number as ication Number	·	
specification, including the I acknowledge the duty to	claims, as amended by disclose all information	d the contents of the above-ident any amendment referred to about known to me to be material to pa	ove.	y as
any foreign application(s) f	ity benefits under Title or patent or inventor's c on for patent or invento	Section 1.56. 35, United States Code, Section sertificate listed below and have ar's certificate having a filing date	also ident	ified
Prior Foreign Application(s)			Priority <u>Claimed</u>	
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
I hereby claim the benefit of provisional application(s) li		ates Code, Section 119(e) of any	y United S	States
Application Number	Filing Dat	<u>e</u>		
Application Number	Filing Dat	e		

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filling date of the prior application and the national or PCT international filling date of this application:

09/078,604 Application Number	5/13/98 Filing Date	Pending Status patented, pending, abandoned Status patented, pending, abandoned		
Application Number	Filing Date			
and a part of this document) as	my respective patent atto ation, to prosecute this ap	(which is incorporated by reference receptoring and patent agents, with full replication and to transact all business in		
Send correspondence to(Na	James H. Salter me of Attorney or Agent)	, BLAKELY, SOKOLOFF,		
TAYLOR & ZAFMAN LLP, 1240	0 Wilshire Boulevard 7th	Floor, Los Angeles, California 90025		
and direct telephone calls to	James H. Salter, ((408) 720-8300.		

(Name of Attorney or Agent)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Sole/Fire	st Inventor <u>Clemens Croÿ</u>					
Inventor's Signature _		Date				
Residence	Frankfurt, Germany (City, Country)	Citizenship	Austria (Country)			
Post Office Address _	Staufenstrasse 39 60323 Frankfurt am Main, Germany					
Full Name of Second/	Joint Inventor <u>Rainer F.R. Bern</u>	nbach				
Inventor's Signature _		Date				
Residence	Wolfenbüttel, Germany (City, Country)	Citizenship	Germany (Country)			
Post Office Address _	Billrothstraße 7 D-38302 Wolfenbüttel, German	у		•		
Residence	(City, State)	Citizenship	(Country)			
rosi Onice Address _						

APPENDIX A

William E. Alford, Reg. No. 37,764; Farzad E. Amini, Reg. No. P42,261; Aloysius T. C. AuYeung, Reg. No. 35,432; William Thomas Babbitt, Reg. No. 39,591; Carol F. Barry, Reg. No. 41,600; Jordan Michael Becker, Reg. No. 39,602; Bradley J. Bereznak, Reg. No. 33,474; Michael A. Bernadicou, Reg. No. 35,934; Roger W. Blakely, Jr., Reg. No. 25,831; Gregory D. Caldwell, Reg. No. 39,926; Ronald C. Card, Reg. No. P44,587; Thomas M. Coester, Reg. No. 39,637; Stephen M. De Klerk, under 37 C.F.R. § 10.9(b); Michael Anthony DeSanctis, Reg. No. 39,957; Daniel M. De Vos, Reg. No. 37,813; Robert Andrew Diehl, Reg. No. 40,992; Matthew C. Fagan, Reg. No. 37,542; Tarek N. Fahmi, Reg. No. 41,402; James Y. Go, Reg. No. 40,621; James A. Henry, Reg. No. 41,064; Willmore F. Holbrow III, Reg. No. P41,845; Sheryl Sue Holloway, Reg. No. 37,850; George W Hoover II, Reg. No. 32,992; Eric S. Hyman, Reg. No. 30,139; William W. Kidd, Reg. No. 31,772; Erica W. Kuo, Reg. No. 42,775; Michael J. Mallie, Reg. No. 36,591; Andre L. Marais, under 37 C.F.R. § 10.9(b); Paul A. Mendonsa, Reg. No. 42,879; Darren J. Milliken, Reg. 42,004; Lisa A. Norris, Reg. No. P44,976; Chun M. Ng, Reg. No. 36,878; Thien T. Nguyen, Reg. No. 43,835; Thinh V. Nguyen, Reg. No. 42,034; Dennis A. Nicholls, Reg. No. 42,036; Kimberley G. Nobles, Reg. No. 38,255; Daniel E. Ovanezian, Reg. No. 41,236; Babak Redjaian, Reg. No. 42,096; William F. Ryann, Reg. 44,313; James H. Salter, Reg. No. 35,668; William W. Schaal, Reg. No. 39,018; James C. Scheller, Reg. No. 31,195; Jeffrey Sam Smith. Reg. No. 39,377; Maria McCormack Sobrino, Reg. No. 31,639; Stanley W. Sokoloff, Reg. No. 25,128; Judith A. Szepesi, Reg. No. 39,393; Vincent P. Tassinari, Reg. No. 42,179; Edwin H. Taylor, Reg. No. 25,129; John F. Travis, Reg. No. 43,203; George G. C. Tseng, Reg. No. 41,355; Joseph A. Twarowski, Reg. No. 42,191; Lester J. Vincent, Reg. No. 31,460; Glenn E. Von Tersch, Reg. No. 41,364; John Patrick Ward, Reg. No. 40,216; Charles T. J. Weigell, Reg. No. 43,398; Kirk D. Williams, Reg. No. 42,229; James M. Wu, Reg. No. P45,241; Steven D. Yates, Reg. No. 42,242; Ben J. Yorks, Reg. No. 33,609; and Norman Zafman, Reg. No. 26,250; my patent attorneys, and Andrew C. Chen, Reg. No. 43,544; Justin M. Dillon, Reg. No. 42,486; Paramita Ghosh, Reg. No. 42,806; and Sang Hui Kim, Reg. No. 40,450; my patent agents, of BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP, with offices located at 12400 Wilshire Boulevard, 7th Floor, Los Angeles, California 90025, telephone (310) 207-3800, and James R. Thein, Reg. No. 31,710, my patent attorney.

APPENDIX B

Title 37, Code of Federal Regulations, Section 1.56 Duty to Disclose Information Material to Patentability

- (a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclosure information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclosure all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:
- (1) Prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) The closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made or record in the application, and
- (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
 - (2) It refutes, or is inconsistent with, a position the applicant takes in:
 - (i) Opposing an argument of unpatentability relied on by the Office, or
 - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
 - (1) Each inventor named in the application;
 - (2) Each attorney or agent who prepares or prosecutes the application; and
- (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.